

## Agenda – Y Pwyllgor Materion Cyfansoddiadol a Deddfwriaethol

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Lleoliad:	I gael rhagor o wybodaeth cysylltwch a:
Ystafell Bwyllgora 3 – Senedd	Gareth Williams
Dyddiad: Dydd Llun, 27 Mawrth 2017	Clerc y Pwyllgor
Amser: 14.00	0300 200 6362
	<a href="mailto:SeneddMCD@cynulliad.cymru">SeneddMCD@cynulliad.cymru</a>

- 1 Cyflwyniad, ymddiheuriadau, dirprwyon a datganiadau o fuddiant**  
(14.00)
- 2 Ymchwiliad Llais cryfach i Gymru: Sesiwn dystiolaeth 6**  
(14.00 – 15.00) (Tudalennau 1 – 9)

Ieuan Wyn Jones

CLA(5)–10–17 – Briff y Gwasanaeth Ymchwil

- 3 Offerynnau nad ydynt yn cynnwys unrhyw faterion i'w codi o dan Reol Sefydlog 21.2 neu 21.3**  
(15.00 – 15.05) (Tudalennau 10 – 13)

CLA(5)–10–17 – Papur 1 – Offerynnau Statudol sydd ag adroddiadau clir

Offerynnau'r Weithdrefn Penderfyniad Negyddol

SL(5)076 – Rheoliadau Ardrethu Annomestig (Darpariaethau Amrywiol) (Cymru)  
2017



Cynulliad  
Cenedlaethol  
Cymru

National  
Assembly for  
Wales

**SL(5)077 – Rheoliadau'r Gwasanaeth Iechyd Gwladol (Ffioedd Deintyddol) (Cymru) (Diwygio) 2017**

**SL(5)080 – Rheoliadau'r Gwasanaeth Iechyd Gwladol (Diwygiadau Amrywiol Diwygio Lles) (Cymru) 2017**

**SL(5)083 – Rheoliadau Ardaloedd Rheoli Mwg (Tanwyddau Awdurdodedig) (Cymru) 2017**

**Offerynnau'r Weithdrefn Penderfyniad Cadarnhaol**

**SL(5)078 – Rheoliadau Cynllunio Gwlad a Thref (Ffioedd am Geisiadau, Ceisiadau Tybiedig ac Ymweliadau Safle) (Cymru) (Diwygio) 2017**

**SL(5)079 – Gorchymyn Cynllunio (Sylweddau Peryglus) (Pennu'r Weithdrefn) (Cymru) 2017**

**4 Offerynnau sy'n cynnwys materion i gyflwyno adroddiad arnynt i'r Cynulliad o dan Reol Sefydlog 21.2 neu 21.3 (15.05 – 15.10)**

**Offerynnau'r Weithdrefn Penderfyniad Negyddol**

**SL(5)081 – Rheoliadau Prynu Tir yn Orfodol (Datganiadau Breinio) (Cymru) 2017**  
(Tudalennau 14 – 25)

**CLA(5)–10–17 – Papur 2 – Adroddiad**

**CLA(5)–10–17 – Papur 3 – Ymateb y Llywodraeth**

**CLA(5)–10–17 – Papur 4 – Rheoliadau**

**CLA(5)–10–17 – Papur 5 – Memorandwm Esboniadol (Saesneg yn unig)**

**SL(5)082 – The Water Environment (Water Framework Directive) (England & Wales)  
Regulations 2017 (Gwnaed yn Saesneg yn unig)**

(Tudalennau 26 – 68)

**CLA(5)–10–17 – Papur 6 – Adroddiad**

**CLA(5)–10–17 – Papur 7 – Rheoliadau (Saesneg yn unig)**

**CLA(5)–10–17 – Papur 8 – Nodyn trosi (Saesneg yn unig)**

**CLA(5)–10–17 – Papur 9 – Memorandwm Esboniadol (Saesneg yn unig)**

**5 Papur i'w nodi**

(15.10 – 15.15)

**Gohebiaeth gan Arweinydd Cyngor Dinas Casnewydd: Menter #SeneddCasnewydd**

(Tudalennau 69 – 70)

**CLA(5)–10–17 – Papur 10 – Gohebiaeth gan Arweinydd Cyngor Dinas Casnewydd at y Cadeirydd ynghylch y fenter #SeneddCasnewydd, 21 Mawrth 2017 (Saesneg yn unig)**

**6 Cynnig o dan Reol Sefydlog 17.42 i benderfynu gwahardd y  
cyhoedd o'r cyfarfod ar gyfer y busnes a ganlyn:**

(15.15)

**7 Ymchwiliad Llais cryfach i Gymru: Ystyried y dystiolaeth**

(15.15 – 15.35)

**8 Bil yr Undebau Llafur (Cymru): Adroddiad drafft**

(15.35 – 15.50)

(Tudalennau 71 – 86)

**CLA(5)–10–17 – Papur 11 – Adroddiad drafft (Saesneg yn unig)**

**9 Bil lechyd y Cyhoedd (Cymru): Gohebiaeth ddrafft at Weinidog  
lechyd y Cyhoedd a Gwasanaethau Cymdeithasol**

(15.50 – 15.55)

(Tudalennau 87 – 90)

**CLA(5)–10–17 – Papur 12** – Gohebiaeth ddrafft at Weinidog Iechyd y Cyhoedd a Gwasanaethau Cymdeithasol (Saesneg yn unig)

**CLA(5)–10–17 – Papur 13** – Gohebiaeth gan Weinidog Iechyd y Cyhoedd a Gwasanaethau Cymdeithasol, 10 Mawrth 2017

**Dyddiad y cyfarfod nesaf**

3 Ebrill 2017

Mae cyfyngiadau ar y ddogfen hon

## Erthyrol 3 Erthyrol Statudol sydd ag Adroddiadau Clir

27 Mawrth 2017

### SL(5)076 – Rheoliadau Ardrethu Annomestig (Darpariaethau Amrywiol) (Cymru) 2017

#### Gweithdrefn: Negyddol

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Mae'r Rheoliadau hyn yn gwneud darpariaeth mewn cysylltiad ag ardrethu annomestig o dan Ran III o Ddeddf Cyllid Llywodraeth Leol 1988 ("Deddf 1988") o ran Cymru.

Maent yn ail-wneud, o ran Cymru, ddarpariaethau Rheoliadau Ardrethu Annomestig (Darpariaethau Amrywiol) 1989 ("Rheoliadau 1989") er mwyn adlewyrchu'r ffaith y gweinyddir ardrethi annomestig ar wahân i'w gilydd yng Nghymru a Lloegr ac y cymhwysir rhan III o Ddeddf 1988 ar wahân i Gymru a Lloegr fel y darperir gan adran 140 o Ddeddf 1988.

Rhiant-Ddeddf: Deddf Cyllid Llywodraeth Leol 1988

Fe'u gwnaed ar: 6 Mawrth 2017

Fe'u gosodwyd ar: 10 Mawrth 2017

Yn dod i rym ar: 1 Ebrill 2017

### SL(5)077 – Rheoliadau'r Gwasanaeth Iechyd Gwladol (Ffioedd Deintyddol) (Cymru) (Diwygio) 2017

#### Gweithdrefn: Negyddol

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Mae'r Rheoliadau hyn yn diwygio Rheoliadau'r Gwasanaeth Iechyd Gwladol (Ffioedd Deintyddol) (Cymru) 2006 ("Rheoliadau 2006").

Mae rheoliad 2 yn diwygio rheoliad 4 o Rheoliadau 2006 (cyfrifo ffioedd) drwy gynyddu'r ffi gymwysadwy sydd i'w thalu am gwrs o driniaeth Band 1, Band 2 a Band 3.

Rhiant-Ddeddf: Deddf y Gwasanaeth Iechyd Gwladol (Cymru) 2006

Fe'u gwnaed ar: 8 Mawrth 2017



Fe'u gosodwyd ar: 10 Mawrth 2017

Yn dod i rym ar: 1 Ebrill 2017

## SL(5)078 – Rheoliadau Cynllunio Gwlad a Thref (Ffioedd am Geisiadau, Ceisiadau Tybiedig ac Ymweliadau Safle) (Cymru) (Diwygio) 2017

### Gweithdrefn: Cadarnhaol

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Mae'r Rheoliadau hyn yn diwygio Rheoliadau Cynllunio Gwlad a Thref (Ffioedd am Geisiadau, Ceisiadau Tybiedig ac Ymweliadau Safle) (Cymru) 2015 mewn cysylltiad â ffioedd am geisiadau tybiedig ac yn ei gwneud yn ofynnol—

- (a) i Weinidogion Cymru anfon copi o'r hysbysiad sy'n pennu'r amser ar gyfer talu'r ffi at yr awdurdod perthnasol ar yr un pryd ag yr anfonir yr hysbysiad at yr apelydd;
- (b) i'r awdurdod perthnasol hysbysu Gweinidogion Cymru pan fydd y ffi wedi ei thalu neu os nad yw'r ffi wedi ei thalu yn yr amser penodedig.

Rhiant–Ddeddf: Deddf Cynllunio Gwlad a Thref 1990

Fe'u gosodwyd ar: 10 Mawrth 2017

Yn dod i rym ar: 5 Mai 2017

## SL(5)079 – Gorchymyn Cynllunio (Sylweddau Peryglus) (Pennu'r Weithdrefn) (Cymru) 2017

### Gweithdrefn: Cadarnhaol

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Mae'r Gorchymyn hwn yn ychwanegu at y rhestr o achosion y mae'n rhaid i Weinidogion Cymru bennu'r weithdrefn mewn cysylltiad â hwy. Gall y weithdrefn fod ar ffurf ymchwiliad lleol, gwrandawriad neu sylwadau ysgrifenedig, neu unrhyw gyfuniad o'r tair ffurf honno y mae Gweinidogion Cymru yn ystyried ei fod yn briodol.

Effaith y Gorchymyn yw ei gwneud yn ofynnol i Weinidogion Cymru bennu'r weithdrefn mewn cysylltiad ag apelau yn erbyn hysbysiadau tramgwydd sylweddau peryglus.

Rhiant–Ddeddf: Deddf Cynllunio (Sylweddau Peryglus) 1990



Fe'i gosodwyd ar: 10 Mawrth 2017

Yn dod i rym ar: 5 Mai 2017

## SL(5)080 – Rheoliadau'r Gwasanaeth Iechyd Gwladol (Diwygiadau Amrywiol Diwygio Lles) (Cymru) 2017

### Gweithdrefn: Negyddol

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Mae'r Rheoliadau hyn yn diwygio Rheoliadau'r Gwasanaeth Iechyd Gwladol (Gwasanaethau Offthalmig Cyffredinol) 1986, Rheoliadau'r Gwasanaeth Iechyd Gwladol (Ffioedd a Thaliadau Optegol) 1997 a Rheoliadau'r Gwasanaeth Iechyd Gwladol (Treuliau Teithio a Pheidio â Chodi Tâl) (Cymru) 2007. Maent yn dirymu Rheoliadau'r Gwasanaeth Iechyd Gwladol (Diwygiadau Canlyniadol Diwygio Lles) (Cymru) 2016.

Mae'r Rheoliadau hyn yn cyflwyno trothwyon ar gyfer personau sy'n cael credyd cynhwysol os ydynt i fod yn gymwys i gael ad-daliad o dreuliau teithio a chymorth mewn cysylltiad â chostau penodol sy'n ymwneud ag iechyd.

**Rhiant-Ddeddf:** Deddf y Gwasanaeth Iechyd Gwladol (Cymru) 2006

Fe'u gwnaed ar: 8 Mawrth 2017

Fe'u gosodwyd ar: 10 Mawrth 2017

Yn dod i rym ar: 1 Ebrill 2017

## SL(5)083 – Rheoliadau'r Gwasanaeth Iechyd Gwladol (Diwygiadau Amrywiol Diwygio Lles) (Cymru) 2017

### Gweithdrefn: Negyddol

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Mae'r Rheoliadau hyn yn dirymu ac yn disodli gyda diwygiadau y Rheoliadau Ardaloedd Rheoli Mwg (Tanwyddau Awdurdodedig) (Cymru) 2016.

Mae adran 20 o Ddeddf Aer Glân 1993 ("Deddf 1993") yn darparu ei bod yn drosedd i allyrru mwg o simnai adeilad neu simnai sy'n gwasanaethu ffwrnais boeler sefydlog neu waith diwydiannol, os yw'r simnai honno mewn ardal rheoli mwg. Fodd bynnag, trwy rinwedd adran 20(3), mae'n amddiffyniad i brofi bod yr allyriad honedig wedi'i achosi gan ddefnyddio tanwydd awdurdodedig yn unig.





Mae'r Rheoliadau hyn yn nodi'r holl danwyddau sydd ar hyn o bryd wedi'u hawdurdodi i'w defnyddio mewn ardaloedd rheoli mwg yng Nghymru ar ddibenion adran 20 o Ddeddf 1993.

**Rhiant-Ddeddf:** Deddf Aer Glân 1993

**Fe'u gwnaed ar:** 15 Mawrth 2017

**Fe'u gosodwyd ar:** 17 Mawrth 2017

**Yn dod i rym ar:** 7 Ebrill 2017



# Item 4.11 – Rheoliadau Prynu Tir yn Orfodol (Datganiadau Breinio) (Cymru) 2017

## Cefndir a Phwrrpas

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Mae'r Rheoliadau hyn yn rhagnodi ffurfiau at ddibenion Deddf Prynu Gorfodol (Datganiadau Breinio) 1981 ('y Ddeddf') a deuant i rym ar 6 Ebrill 2017. Maent yn gymwys i brynu tir yn orfodol yng Nghymru.

Mae'r ffurfiau a ragnodir yn adlewyrchu'r newidiadau i'r weithdrefn datganiad breinio cyffredinol a wnaed gan Ran 7 o Ddeddf Tai a Chynllunio 2016.

## Gweithdrefn

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Negyddol

## Craffu Technegol

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Nodwyd y pwyntiau canlynol i gyflwyno adroddiad arnynt o dan Reol Sefydlog 21.2 mewn perthynas â'r offeryn hwn (Rheol Sefydlog 21.2(vi): ei bod yn ymddangos bod gwaith drafftio'r offeryn neu'r drafft yn ddifffygol neu ei fod yn methu â bodloni gofynion statudol; Rheol Sefydlog 21.2(vii): ei bod yn ymddangos bod anghysondebau rhwng ystyr testun Cymraeg a thestun Saesneg yr offeryn neu'r drafft).

Mae testun Saesneg Ffurflen 2 yn cyfeirio'n gyson (ac yn gywir) at Atodlen A1 i'r Ddeddf. Mae testun Cymraeg yn cyfeirio yn anghyson at Atodlen 1A, A1 and A.

## Craffu ar rinweddau

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Ni nodwyd unrhyw bwyntiau i gyflwyno adroddiad arnynt o dan Reol Sefydlog 21.2 mewn perthynas â'r offeryn hwn.

Cynghorwyr Cyfreithiol

Y Pwyllgor Materion Cyfansoddiadol a Deddfwriaethol  
16 Mawrth 2017



## **Rheoliadau Prynu Tir yn Orfodol (Datganiadau Breinio) (Cymru) 2017**

Mae'r Gweinidog yn nodi'r pwyntiau a godir. Mae cais wedi ei wneud am nodyn cywiro.

**2017 Rhif (Cy.)**

**CAFFAEL TIR, CYMRU**

**Rheoliadau Prynu Tir yn Orfodol  
(Datganiadau Breinio) (Cymru)  
2017**

**NODYN ESBONIADOL**

*(Nid yw'r nodyn hwn yn rhan o'r Rheoliadau)*

Mae'r Rheoliadau hyn yn rhagnodi ffurfiau at ddibenion Deddf Prynu Gorfodol (Datganiadau Breinio) 1981 (p. 66) ("Deddf 1981") a deuant i rym ar 6 Ebrill 2017. Maent yn gymwys i brynu tir yn orfodol yng Nghymru.

Mae'r ffurfiau a ragnodir yn adlewyrchu'r newidiadau i'r weithdrefn datganiad breinio cyffredinol a wnaed gan Ran 7 o Ddeddf Tai a Chynllunio 2016 (p. 22).

Mae rheoliad 3(1)(a) yn darparu mai ffurf datganiad breinio cyffredinol, at ddibenion adran 4(1) o Ddeddf 1981, yw Ffurflen 1 yn yr Atodlen i'r Rheoliadau hyn (neu ffurflen y mae ei heffaith yn sylweddol debyg iddi).

Mae rheoliad 3(1)(b) yn darparu mai ffurf hysbysiad sy'n pennu'r tir ac yn datgan effaith datganiad breinio cyffredinol, at ddibenion adran 6(1) o Ddeddf 1981, yw Ffurflen 2 yn yr Atodlen i'r Rheoliadau hyn (neu ffurflen y mae ei heffaith yn sylweddol debyg iddi).

Mae rheoliad 4 yn dirymu gydag arbediad Reoliadau Prynu Tir yn Orfodol (Datganiadau Breinio) 1990 (O.S. 1990/497) yng Nghymru.

Ni luniwyd asesiad effaith ar gyfer yr offeryn hwn, gan na ragwelir unrhyw effaith ar y sector preifat na'r sector gwirfoddol.

**2017 Rhif (Cy. )**

**CAFFAEL TIR, CYMRU**

**Rheoliadau Prynu Tir yn Orfodol  
(Datganiadau Breinio) (Cymru)  
2017**

*Gwnaed* 8 Mawrth 2017

*Gosodwyd gerbron Cynulliad Cenedlaethol  
Cymru* 14 Mawrth 2017

*Yn dod i rym* 6 Ebrill 2017

Mae Gweinidogion Cymru, drwy arfer y pwerau a roddir i'r Ysgrifennydd Gwladol gan adrannau 2(1), 4 a 6 o Ddeddf Prynu Gorfodol (Datganiadau Breinio) 1981(2), ac sy'n arferadwy bellach ganddynt hwy(3), yn gwneud y Rheoliadau a ganlyn:

**Enwi, cychwyn a chymhwyso**

1.—(1) Enw'r Rheoliadau hyn yw Rheoliadau Prynu Tir yn Orfodol (Datganiadau Breinio) (Cymru) 2017, a deuant i rym ar 6 Ebrill 2017.

(2) Mae'r Rheoliadau hyn yn gymwys o ran prynu tir yn orfodol yng Nghymru.

**Dehongli**

2.—(1) Yn y Rheoliadau hyn—

ystyr “deddfiad arbennig” (“*special enactment*”) yw—

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- (1) Gweler y diffiniad o “prescribed” yn is-adran (1).  
(2) 1981 p. 66. Diwygiwyd adran 4(1) gan adran 184 o Ddeddf Tai a Chynllunio 2016 (p. 22). Diwygiwyd adran 6(1) gan adran 183 o'r Ddeddf honno, a pharagraffau 4 a 7 o Atodlen 15 iddi.  
(3) Trosglwyddwyd swyddogaethau'r Ysgrifennydd Gwladol, i'r graddau y maent yn arferadwy o ran Cymru, i Gynulliad Cenedlaethol Cymru gan erthygl 2 o Orchymyn Cynulliad Cenedlaethol Cymru (Trosglwyddo Swyddogaethau) 1999 (O.S. 1999/672), gweler yr eitem yn Atodlen 1 ar gyfer Deddf Prynu Gorfodol (Datganiadau Breinio) 1981. Trosglwyddwyd swyddogaethau Cynulliad Cenedlaethol Cymru i Weinidogion Cymru yn rhinwedd adran 162 o Ddeddf Llywodraeth Cymru 2006 (p. 32) a pharagraff 30 o Atodlen 11 iddi.

- (a) Deddf leol neu breifat sy'n awdurdodi prynu tir yn orfodol a nodwyd yn benodol yn y Ddeddf honno, neu
- (b) darpariaeth sydd—
  - (i) wedi ei chynnwys mewn Deddf ac eithrio Deddf leol neu breifat, a
  - (ii) yn awdurdodi prynu tir yn orfodol a nodwyd yn benodol yn y Ddeddf honno;

ystyr “y Ddeddf” (*“the Act”*) yw Deddf Prynu Gorfodol (Datganiadau Breinio) 1981; ac

ystyr “gorchymyn perthnasol” (*“relevant order”*) yw gorchymyn sy'n darparu bod y Ddeddf i fod yn gymwys i brynu tir yn orfodol y mae'n ei awdurdodi fel petai'r gorchymyn yn orchymyn prynu gorfodol.

(2) At ddibenion y Rheoliadau hyn, mae pryniant gorfodol wedi ei awdurdodi—

- (a) gan orchymyn prynu gorfodol, ar y diwrnod y caiff y gorchymyn ei gadarnhau gan Weinidog neu Weinidogion Cymru neu awdurdod arall, neu ei wneud gan Weinidog neu Weinidogion Cymru;
- (b) gan orchymyn o dan adran 1 neu 3 o Ddeddf Trafnidiaeth a Gweithfeydd 1992<sup>(1)</sup>, ar y diwrnod y mae'r Ysgrifennydd Gwladol neu Weinidogion Cymru yn penderfynu gwneud y gorchymyn o dan adran 13(1) o'r Ddeddf honno;
- (c) gan orchymyn adolygu harbwr, gorchymyn grymuso harbwr neu orchymyn cau harbwr o dan Ddeddf Harbyrau 1964<sup>(2)</sup>, ar y diwrnod y gwneir y gorchymyn gan y Gweinidog

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(1) 1992 p. 42. Gall gorchymyn a wneir o dan adran 1 neu 3 o Ddeddf Trafnidiaeth a Gweithfeydd 1992 awdurdodi caffael tir yn orfodol, gweler adran 5 o'r Ddeddf honno, a pharagraff 3 o Atodlen 1 iddi. Trosglwyddwyd swyddogaethau gwneud gorchymynion o dan adrannau 1 a 3 i Gynulliad Cenedlaethol Cymru ac eithrio pan fyddai unrhyw orchymyn o'r fath yn cael effaith yng Nghymru a Lloegr, gweler erthygl 2 o Orchymyn Cynulliad Cenedlaethol Cymru (Trosglwyddo Swyddogaethau) 1999, a'r eitem ar gyfer Deddf Trafnidiaeth a Gweithfeydd 1992 yn Atodlen 1 iddi. Trosglwyddwyd swyddogaethau Cynulliad Cenedlaethol Cymru i Weinidogion Cymru yn rhinwedd adran 162 o Ddeddf Llywodraeth Cymru 2006 a pharagraff 30 o Atodlen 11 iddi.

(2) 1964 p. 40. Gall gorchymyn o dan Ddeddf Harbyrau 1964 awdurdodi caffael tir yn orfodol, gweler adrannau 14 ac 16 o'r Ddeddf honno.

priodol(1) neu Weinidogion Cymru neu berson sydd wedi ei ddynodi mewn gorchymyn a wnaed o dan adran 42A(2) o'r Ddeddf honno;

- (d) gan unrhyw orchymyn perthnasol arall, ar y diwrnod y gwneir y gorchymyn gan Weinidog neu Weinidogion Cymru; neu
- (e) gan ddeddfiad arbennig(3), ar y diwrnod y deddfir y deddfiad arbennig.

### **Ffurfiâu rhagnodedig mewn cysylltiad â datganiadau breinio cyffredinol**

3.—(1) Mewn perthynas â phrynu tir yn orfodol a awdurdodir ar neu ar ôl 6 Ebrill 2017 —

- (a) at ddibenion adran 4(1) o'r Ddeddf, ffurf ragnodedig datganiad breinio cyffredinol yw Ffurflen 1;
- (b) at ddibenion adran 6(1) o'r Ddeddf, ffurf ragnodedig hysbysiad sy'n pennu'r tir ac sy'n datgan effaith datganiad breinio cyffredinol yw Ffurflen 2.

(2) Mae'r cyfeiriadau yn y rheoliad hwn at ffurflen â rhif yn gyfeiriadau at y ffurflen sy'n dwyn y rhif hwnnw yn yr Atodlen neu at ffurflen y mae ei heffaith yn sylweddol debyg iddi.

### **Dirymu ac arbed**

4.—(1) Yn ddarostyngedig i baragraff (2), mae Rheoliadau Prynu Tir yn Orfodol (Datganiadau Breinio) 1990(4) wedi eu dirymu o ran Cymru.

(2) Mae'r Rheoliadau a grybwyllwyd ym mharagraff (1) yn parhau i gael effaith mewn perthynas â phrynu tir yn orfodol a awdurdodir cyn ^ Ebrill 2017.

*Lesley Griffiths*

Ysgrifennydd y Cabinet dros yr Amgylchedd a Materion Gwledig, un o Weinidogion Cymru  
8 Mawrth 2017

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- (1) I gael y diffiniad o "the appropriate Minister" gweler adrannau 14(7) a 15(3) o Ddeddf Harbyrau 1964. Mae swyddogaethau o dan y Ddeddf honno yn arferadwy gan Weinidogion Cymru i'r graddau y maent yn ymwneud â harbyrau pysgodfeydd, gweler yr eitem ar gyfer y Ddeddf honno yn Atodlen 1 i Orchymyn Cynulliad Cenedlaethol Cymru (Trosglwyddo Swyddogaethau) 1999. Diwygiwyd yr eitem honno gan erthygl 4 o Orchymyn Cynulliad Cenedlaethol Cymru (Trosglwyddo Swyddogaethau) 2000 (O.S. 2000/253) a pharagraff 1 o Atodlen 3 iddi. Trosglwyddwyd swyddogaethau Cynulliad Cenedlaethol Cymru i Weinidogion Cymru yn rhinwedd adran 162 o Ddeddf Llywodraeth Cymru 2006 a pharagraff 30 o Atodlen 11 iddi.
  - (2) Mewnosodwyd adran 42A gan adran 315 o Ddeddf y Môr a Mynediad i'r Arfordir 2009 (p. 23), a pharagraffau 1 a 3(1) o Atodlen 21 iddi.
  - (3) Caiff deddfiad arbennig ddarparu bod y Ddeddf yn gymwys fel petai'r deddfiad yn orchymyn prynu gorfodol.
  - (4) O.S. 1990/497.

# ATODLEN 1

## Ffurflen 1

Rheoliad 3(1)(a)

### Ffurflen datganiad breinio cyffredinol

Gwneir y DATGANIAD BREINIO CYFFREDINOL hwn ar y ... .. dydd o ... ..  
... 20.. ... .. gan ... .. (a) ("yr Awdurdod").

#### YN GYMAINT Â BOD:

- (1) Ar ... .. 20.. ... .. gorchymyn â'r teitl ... .. wedi'i (wneud)  
(gadarnhau) gan ... .. (b) o dan y pwerau a drosglwyddwyd iddynt o dan Ddeddf  
.....(c) yn awdurdodi'r Awdurdod i gaffael y tir a bennwyd yn yr Atodlen at hyn.
- (2) Cyhoeddwyd hysbysiad [cadarnhau] [gwneud] y gorchymyn gyntaf yn unol ag [adran 15 o Ddeddf  
Caffael Tir 1981] [paragraff 6 o Atodlen 1 i Ddeddf Caffael Tir 1981] (d) ar ... .. 20..
- (3) Roedd yr hysbysiad hwnnw'n cynnwys y datganiad a'r ffurflen a ragnodwyd o dan [Adran  
15(4)(e) ac (f) o Ddeddf Caffael Tir 1981] [paragraff 6(4)(e) ac (f) o Atodlen 1 i Ddeddf Caffael Tir  
1981] (e)

YN AWR TYSTIA'R WEITHRED HON, wrth weithredu'r pwerau a drosglwyddwyd iddynt drwy  
adran 4 o Ddeddf Prynu Gorfodol (Datganiadau Breinio) 1981("y Ddeddf"), fod yr Awdurdod drwy  
hyn yn datgan --

- (1.) Y bydd y tir a ddisgrifir yn (Rhan 1 o(f) yr Atodlen at hyn (sef [yr holl dir] [rhan o'r tir] yr  
awdurdodwyd ei gaffael drwy'r gorchymyn) ac yn fwy penodol sydd wedi'i farcio ar y cynllun a  
atodwyd at hyn ynghyd â'r hawl i fynd ar y tir a'i feddiannu yn cael ei freinio i'r Awdurdod o  
ddiwedd y cyfnod o [rhowch gyfnod o 3 mis neu hwy] o'r dyddiad pan gwblheir cyflwyno'r  
hysbysiadau sy'n ofynnol o dan adran 6 o'r Ddeddf.
- (2.) At ddibenion adran 2(2) o'r Ddeddf, y cyfnod penodedig [yng nghyswllt y tir sydd wedi'i  
gynnwys yn y datganiad hwn yw... .. o flynyddoedd a misoedd] [yng nghyswllt pob ardal  
o dir a bennir yng ngholofn 1 o Ran 2 o'r Atodlen at hyn yw hwnnw sy'n cael ei ddatgan ar gyfer yr  
ardal honno yng ngholofn 2].

# ATODLEN

(g) ...

## NODIADAU AM DDEFNYDDIO FFURFLEN 1

(a) Rhowch enw'r awdurdod caffael.

(b) Rhowch enw'r awdurdod cadarnhau neu, os yw'r gorchymyn wedi'i wneud gan Weinidog, enw'r  
Gweinidog hwnnw.



(c) Rhewch deitl y Ddeddf sy'n awdurdodi'r prynu gorfodol.

(d) Os cyhoeddwyd yr hysbysiad o dan weithdrefn a ragnodir o dan ryw ddarpariaeth arall, cyfeiriwch yn hytrach at y ddarpariaeth honno.

(e) Os cyhoeddwyd yr hysbysiad o dan weithdrefn a ragnodir o dan ddarpariaeth arall (h.y. nid o dan Ddeddf Caffael Tir 1981,) cyfeiriwch at y ddarpariaeth a oedd yn ei gwneud yn ofynnol i'r hysbysiad gynnwys datganiad rhagnodedig am effaith Rhannau 2 a 3 Deddf Prynu Gorfodol (Datganiadau Breinio) 1981 a ffurflen rhagnodedig ar gyfer rhoi gwybodaeth i'r awdurdod sy'n caffael.

(f) Dylid rhannu'r Atodlen yn Rhan 1 a Rhan 2 os bydd gofyn cael Rhan 2 at ddibenion ymadrodd olaf Cymal 2 o'r datganiad. Ceir hepgor Cymal 2, dan unrhyw amgylchiad, os nad oes tenantiaeth hir sydd ar fin dod i ben. Gweler adran 2(2) o Ddeddf Prynu Gorfodol (Datganiadau Breinio) 1981 ynglŷn â hyn.

(g) Dylid gwneud y datganiad o dan sêl, a'i ddilysu a'i ddyddio'n briodol.

## Ffurflen 2

Reheoliad 3(1)(b)

### **Ffurflen Hysbysiad yn pennu'r tir ac yn datgan effaith datganiad breinio cyffredinol**

Gorchymyn Prynu Gorfodol 20 .....

I: .....

O: .....

RHODDIR HYSBYSIAD DRWY HYN bod y ..... ("y.....") (a) ar .....  
..... 20..... wedi gweithredu datganiad breinio cyffredinol o dan adran 4 o Ddeddf  
Prynu Gorfodol (Datganiadau Breinio) 1981 ("y Ddeddf") yn breinio'r tir sy'n cael ei ddisgrifio yn yr  
Atodlen i'r hysbysiad hwn ("y tir") ynddynt hwy eu hunain o ddiwedd y cyfnod o [ *rhewch gyfnod o 3  
mis neu hwy*] o'r dyddiad pan gwblheir cyflwyno'r hysbysiadau sy'n ofynnol o dan adran 6 o'r  
Ddeddf.

Bydd y..... (a) yn rhoi gwybod ichi maes o law ar ba ddyddiad y cwblhawyd cyflwyno'r  
hysbysiadau.

Bydd effaith y datganiad breinio cyffredinol fel a ganlyn:--

Ar y diwrnod cyntaf ar ôl diwedd y cyfnod y cyfeirir ato ym mharagraff cyntaf yr hysbysiad hwn ("y  
dyddiad breinio") bydd y tir, ynghyd â'r hawl i fynd arno a'i feddiannu, wedi'i freinio yn y .....  
..... (a).

Hefyd, ar y diwrnod breinio, bydd y Deddfau sy'n darparu ar gyfer iawndal yn berthnasol fel pe bai, ar  
y diwrnod pan roddwyd y datganiad breinio cyffredinol ar waith (sef, ..... 20.....  
.....), hysbysiad i drafod telerau wedi'i gyflwyno i bob person y gallai'r ..... (a) fod wedi  
cyflwyno hysbysiad o'r fath iddo, o dan adran 5 o Ddeddf Prynu Gorfodol 1965, (ac eithrio unrhyw  
person sydd â'r hawl i "fân denantiaeth" neu "denantiaeth hir" sydd ar fin dod i ben. Diffinnir yr  
ymadroddion hyn yn Atodiad A i'r hysbysiad hwn).

Os yw'r tir yn cynnwys unrhyw dir lle mae mân denantiaeth neu denantiaeth hir sydd ar fin dod i ben,  
ni fydd modd rhoi'r hawl mynediad ar waith gyda golwg ar y tir hwnnw, ac eithrio, ar ôl cyflwyno  
hysbysiad i drafod telerau yng nghyswllt y denantiaeth honno, bod..... (a) ar ôl cyflwyno  
i bob meddiannydd ar unrhyw dir lle mae'r denantiaeth yn bodoli, hysbysiad yn dweud, ar ddiwedd  
cyfnod penodedig (o leiaf 3 mis ar ôl dyddiad cyflwyno'r hysbysiad), eu bod yn bwriadu mynd ar y tir

sydd wedi'i bennu yn yr hysbysiad a'i feddiannu, a bod y cyfnod hwnnw wedi dod i ben: yna bydd breinio'r tir yn ddarostyngedig i'r denantiaeth nes i'r cyfnod hwnnw ddod i ben, neu nes i'r denantiaeth ddod i ben, pa un bynnag sy'n digwydd gyntaf.

Mae Atodlenni 1A ac 1 i'r Ddeddf yn cynnwys darpariaethau atodol ynglŷn â'r datganiadau breinio cyffredinol. Os cyflwynir gwrth-hysbysiad o dan baragraff 2 o Atodlen A1 o fewn y cyfnod y cyfeirir ato ym mharagraff cyntaf yr hysbysiad hwn, bydd y dyddiad breinio ar gyfer y tir y cyfeirir ato yn y gwrth-hysbysiad yn cael ei bennu'n unol a'r Atodlen honno. Mae darpariaethau Atodlenni A ac 1 wedi'u nodi yn Atodiad B i'r hysbysiad hwn.

Mae copi o'r datganiad breinio cyffredinol y mae'r hysbysiad hwn yn cyfeirio ato ac o'r cynllun sydd wedi'u hatodi wrth y datganiad ar gael i'w harchwilio yn... .. (b) ac mae modd eu gweld ar bob awr resymol.

## ATODLEN

[Disgrifiad o'r tir wedi'i dynnu o'r Atodlen i'r datganiad breinio cyffredinol]

Atodiad A

[Nodwch yma'r diffiniadau o "fan denantiaeth" a "thenantiaeth hir sydd ar fin dod i ben" yn adran 2(1) a (2) o'r Ddeddf].

Atodiad B

[Rhowch Atodlenni 1A ac 1 i'r Ddeddf yma]

[Dyddiad a llofnod]

## NODIADAU AM DDEFNYDDIO FFURFLEN 2

(a) Rhowch enw'r awdurdod a'i ddiffinio drwy ddefnyddio term priodol. Yna, defnyddiwch y diffiniad hwnnw pa bryd bynnag y bydd "(a)" yn ymddangos yn y testun.

(b) Rhowch gyfeiriad y swyddfa lle mae modd archwilio'r dogfennau.

## **Explanatory Memorandum to The Compulsory Purchase of Land (Vesting Declarations) (Wales) Regulations 2017**

This Explanatory Memorandum has been prepared by the Planning Directorate and is laid before the National Assembly for Wales in conjunction with the above subordinate legislation and in accordance with Standing Order 27.1.

### **Cabinet Secretary/Minister's Declaration**

In my view, this Explanatory Memorandum gives a fair and reasonable view of the expected impact of The Compulsory Purchase of Land (Vesting Declarations) (Wales) Regulations 2017.

Lesley Griffiths  
Cabinet Secretary for Environment and Rural Affairs  
14 March 2017

## **1. Description**

- 1.1 These Regulations prescribe forms for the purposes of the Compulsory Purchase (Vesting Declarations) Act 1981 ("the 1981 Act") (c. 66). They apply to the compulsory purchase of land in Wales.
- 1.2 The prescribed forms reflect changes to the general vesting declaration procedure made by Part 7 of the Housing and Planning Act 2016 (c. 22).

## **2. Matters of special interest to the Constitutional and Legislative Affairs Committee**

None.

## **3. Legislative background**

- 3.1 The Welsh Ministers make these Regulations in exercise of the powers conferred on the Secretary of State by sections 2(1), 4 and 6 of the 1981 Act. These powers are now exercisable by the Welsh Ministers.
- 3.2 The functions of the Secretary of State under the 1981 Act, so far as exercisable in relation to Wales, were transferred to the then National Assembly for Wales by article 2 of the National Assembly for Wales (Transfer of Functions) Order 1999 (S.I. 1999/672), see the entry in Schedule 1 for the Compulsory Purchase (Vesting Declarations) Act 1981. The functions of the National Assembly for Wales were transferred to the Welsh Ministers by virtue of section 162 of, and paragraph 30 of Schedule 11 to, the Government of Wales Act 2006 (c. 32).
- 3.3 Section 2(1) of the 1981 Act provides that regulations which prescribe forms for the purposes of the 1981 Act are subject to the negative procedure.

## **4. Purpose & intended effect of the legislation**

- 4.1 These Regulations prescribe forms for the purposes of the 1981 Act, to replace those previously prescribed and they apply to the compulsory purchase of land in Wales.
- 4.2 Regulation 3(1)(a) provides that, for the purposes of section 4(1) of the 1981 Act, the prescribed form of general vesting declaration is Form 1.
- 4.3 Regulation 3 (1) (b) provides that, for the purposes of section 6(1) of the 1981 Act, the prescribed form of notice specifying the land and stating the effect of a general vesting declaration is Form 2.

- 4.4 The replacement forms reflect the changes to the general vesting declaration procedure made by Part 7 of the Housing and Planning Act 2016 (c. 22).

## **5. Consultation**

- 5.1 The Regulations replace the general vesting declaration forms previously prescribed, as required by changes to the 1981 Act made by the Housing and Planning Act 2016. The Welsh Ministers have no discretion as to the changes to the forms which need to be made. No consultation was therefore undertaken. This approach was agreed by the Cabinet Secretary for Environment and Rural Affairs.
- 5.2 A Regulatory Impact Assessment has not been produced for this instrument as no impact on the private or voluntary sectors is foreseen.

# Item 42 – The Water Environment (Water Framework Directive) (England and Wales) Regulations 2017 (Gwnaed yn Saesneg yn unig)

## Cefndir a Phwrrpas

Mae'r Rheoliadau hyn yn dirymu ac yn disodli Rheoliadau Amgylchedd Dŵr (y Gyfarwyddeb Fframwaith Dŵr) (Cymru a Lloegr) 2003 ("Rheoliadau 2003") a oedd yn trosi'r Gyfarwyddeb Fframwaith Dŵr 2000/60 / EC ("y CFFD"). Mae hyn yn ateb y diben deublyg o atgyfnerthu Rheoliadau 2003, a gafodd eu diwygio nifer o weithiau, a gwneud agweddau ar y Rheoliadau yn fwy manwl a thryloyw. Mae hyn mewn ymateb i Farn Resymedig y Comisiwn Ewropeaidd sy'n ymwneud â throsi'r Gyfarwyddeb Fframwaith Dŵr.

## Gweithdrefn

Negyddol

## Craffu Technegol

Nodwyd y pwynt a ganlyn i gyflwyno adroddiad arno o dan Reol Sefydlog 21.2 mewn perthynas â'r offeryn hwn (Reol Sefydlog 21.2(ix): nad yw wedi'i wneud neu i'w wneud yn Gymraeg ac yn Saesneg).

Mae'r Memorandwm Esboniadol yn datgan:

Gan y bydd Senedd y DU yn craffu ar y Rheoliadau, nid ystyrir ei bod yn rhesymol ymarferol i'r offeryn hwn gael ei wneud na'i osod yn ddwyieithog.

## Craffu ar rinweddau

Ni nodwyd unrhyw bwyntiau i gyflwyno adroddiad arnynt o dan Reol Sefydlog 21.3 mewn perthynas â'r offeryn hwn.

Cynghorwyr Cyfreithiol

Y Pwyllgor Materion Cyfansoddiadol a Deddfwriaethol

17 Mawrth 2017



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STATUTORY INSTRUMENTS

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**2017 No. 407**

**WATER RESOURCES, ENGLAND AND WALES**

**The Water Environment (Water Framework Directive) (England and Wales) Regulations 2017**

*Made* - - - - - *15th March 2017*  
*Laid before Parliament* *16th March 2017*  
*Laid before the National Assembly for Wales* *16th March 2017*  
*Coming into force* - - - *10th April 2017*

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The Secretary of State and the Welsh Ministers, acting respectively in relation to river basin districts that are wholly in England and river basin districts that are wholly in Wales, and jointly in relation to river basin districts that are partly in England and partly in Wales, make these Regulations in exercise of the powers conferred by section 2(2) of the European Communities Act 1972<sup>(a)</sup>.

The Secretary of State is designated for the purposes of that section of that Act in relation to the environment<sup>(b)</sup>, and the Welsh Ministers are designated for the purposes of that section of that Act in relation to water resources<sup>(c)</sup>.

## PART 1

### Introduction

#### Citation, commencement, extent and application

##### 1. These Regulations—

- (a) may be cited as the Water Environment (Water Framework Directive) (England and Wales) Regulations 2017 and come into force on 10th April 2017;
- (b) extend to England and Wales;
- (c) apply only in relation to river basin districts identified under regulation 4(1).

#### Interpretation

##### 2.—(1) In these Regulations—

“the 2003 Regulations” means the Water Environment (Water Framework Directive) (England and Wales) Regulations 2003<sup>(d)</sup>;

“the WFD” means Directive 2000/60/EC of the European Parliament and of the Council establishing a framework for Community action in the field of water policy<sup>(e)</sup>;

“the GWD” means Directive 2006/118/EEC of the European Parliament and of the Council on the protection of groundwater against pollution and deterioration<sup>(f)</sup>;

“the EQSD” means Directive 2008/105/EC of the European Parliament and of the Council on environmental quality standards in the field of water policy<sup>(g)</sup>;

“the Agency” means the Environment Agency;

“the appropriate agency”, except as provided for by regulation 9(8), means—

- (a) in relation to a river basin district that is wholly in England, the Agency;
- (b) in relation to a river basin district that is wholly in Wales, NRW;
- (c) in relation to a river basin district that is partly in England and partly in Wales, the Agency and NRW acting jointly;

“the appropriate authority”, except as provided for by regulation 9(8), means—

- (a) in relation to a river basin district that is wholly in England, the Secretary of State;

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(a) 1972 c. 68; section 2(2) was amended by section 27 of the Legislative and Regulatory Reform Act 2006 (c. 51) and Part 1 of the Schedule to the European Union (Amendment) Act 2008 (c. 7).

(b) S.I. 2008/301.

(c) S.I. 2003/2901, to which there are amendments not relevant to these Regulations. The functions conferred on the National Assembly for Wales by means of that Order are now exercisable by the Welsh Ministers by virtue of paragraph 28(1) of Schedule 11 to the Government of Wales Act 2006 (c.32).

(d) S.I. 2003/3242, amended by S.I. 2005/2035, 2010/630, 2011/556, 603, 1043, 2013/755 (W. 90), 2015/1623, 2016/138, 1154.

(e) OJ No L 327, 22.12.2000, p1, as last amended by Commission Directive 2014/101/EU (OJ No L 311, 31.10.2014, p32).

(f) OJ No L 372, 27.12.2006, p19, as last amended by Commission Directive 2014/80/EU (OJ No L 182, 21.6.2014, p52).

(g) OJ No L 348, 24.12.2008, p84, as last amended by Directive 2013/39/EU (OJ No L 226, 24.8.2013, p1).

- (b) in relation to a river basin district that is wholly in Wales, the Welsh Ministers;
- (c) in relation to a river basin district that is partly in England and partly in Wales, the Secretary of State and the Welsh Ministers acting jointly;

“artificial or heavily modified water body” means a body of surface water designated as such under regulation 15;

“body of water” means a body of groundwater or a body of surface water;

“drinking water protected area” has the meaning given by regulation 8;

“England” includes the territorial sea adjacent to England not forming any part of Wales;

“environmental objectives”, in relation to a river basin district or body of water, means the objectives set under regulation 12 in accordance with regulation 13;

“NRW” means the Natural Resources Body for Wales;

“programme of measures”, in relation to a river basin district, means the programme of measures established under regulation 12 in accordance with regulation 20;

“protected area” means an area included on a register in accordance with regulation 10;

“public body” does not include a Minister of the Crown within the meaning of the Ministers of the Crown Act 1975(a), the Welsh Ministers, the First Minister for Wales or the Counsel General to the Welsh Government, but does include—

- (a) a person otherwise holding an office—
  - (i) under the Crown,
  - (ii) created or continued in existence by a public general Act of Parliament, or
  - (iii) the remuneration in respect of which is paid out of money provided by Parliament;
- (b) a statutory undertaker, being a person who by virtue of section 262 of the Town and Country Planning Act 1990(b) is, or is deemed to be, a statutory undertaker for any purpose;

“relevant functions” means functions under these Regulations and, so far as material, the enactments listed in Parts 1 and 2 of Schedule 2 (which relate to statutes and subordinate legislation);

“river basin district” means an area identified by regulation 4(1), being the main unit for the management of river basins for the purposes of the WFD and being made up of a river basin or neighbouring river basins, together with associated groundwater, transitional waters and coastal water;

“river basin management plan” means a plan to which Part 6 applies;

“shellfish” means any bivalve or gastropod mollusc;

“shellfish water protected area” has the meaning given by regulation 9;

“status”, in relation to a body of water, is a reference to its surface water status or groundwater status (as the case may be);

“the table of priority substances” means the table in Part A of Annex I to the EQSD;

“Wales” has the meaning given by section 158 of the Government of Wales Act 2006(c);

“water intended for human consumption” has the same meaning as in Council Directive 98/83/EC on the quality of water intended for human consumption(d).

(2) In these Regulations, where the appropriate agency is required to make copies of a list, statement, summary, draft plan or plan accessible to the public free of charge—

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(a) 1975 c. 26.

(b) 1990 c. 8; section 262 was amended by section 84 of, and Part 2 of Schedule 19 to, the Planning and Compensation Act 1991 (c. 34), section 76(7) of the Utilities Act 2000 (c. 27), section 37 of, and Schedule 5 to, the Transport Act 2000 (c. 38) and by S.I. 2001/1149 and 2013/755 (W. 90).

(c) 2006 c. 32; there are amendments to section 158 not relevant to these Regulations.

(d) OJ No L 330, 5.12.1998, p32, as last amended by Commission Directive (EU) 2015/1787 (OJ No L 260, 7.10.2015, p6).

- (a) references to doing so through its website mean—
  - (i) where the Agency is the appropriate agency, through its website;
  - (ii) where NRW is the appropriate agency, through its website;
  - (iii) where the Agency and NRW acting jointly are the appropriate agency, through their respective websites;
- (b) references to doing so at its principal office mean—
  - (i) where the Agency is the appropriate agency, at its principal office;
  - (ii) where NRW is the appropriate agency, at its principal office;
  - (iii) where the Agency and NRW acting jointly are the appropriate agency, at their principal offices.

(3) Expressions used in both these Regulations and the WFD and which are listed in Schedule 1 have the meaning given in that Schedule.

(4) Expressions used in both these Regulations and the WFD and not otherwise defined in these Regulations have the same meaning for the purposes of these Regulations as they do for the purposes of the WFD.

### **Duties on ministers and regulators**

**3.—**(1) The Secretary of State, the Welsh Ministers, the Agency and NRW must exercise their relevant functions so as to secure compliance with the requirements of the WFD, the EQSD and the GWD.

(2) Without prejudice to the generality of paragraph (1), the Secretary of State, the Welsh Ministers, the Agency and NRW must determine an authorisation so as, in particular—

- (a) to prevent deterioration of the surface water status or groundwater status of a body of water (subject to the application of regulations 18 and 19), and
- (b) otherwise to support the achievement of the environmental objectives set for a body of water (subject to the application of regulations 16 to 19).

(3) In paragraph (2), “determine an authorisation” means decide whether to grant, vary or revoke, or impose conditions (and if so which conditions) on—

- (a) an environmental permit under the Environmental Permitting (England and Wales) Regulations 2016<sup>(a)</sup>;
- (b) a licence for an abstraction or impoundment under Chapter 2 of Part 2 of the Water Resources Act 1991<sup>(b)</sup>.

(4) The Secretary of State, the Welsh Ministers, the Agency and NRW must exercise their relevant functions in relation to each river basin district so as best to secure that the requirements of the WFD, the EQSD and the GWD for the achievement of the environmental objectives, and in particular programmes of measures, are coordinated for the whole of that district.

## **PART 2**

### **River basin districts and water bodies**

#### **Map of river basin districts**

**4.—**(1) The areas shown on the official map are identified as river basin districts for the purposes of these Regulations.

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<sup>(a)</sup> S.I. 2016/1154.

<sup>(b)</sup> 1991 c. 57.

(2) In this regulation, “the official map” means the map of river basin districts produced by the Agency and published on its website.

(3) NRW must ensure that the official map is published on its website.

(4) The Agency and NRW must ensure that the official map is made available to the public at their principal offices.

### **Characterisation of river basin districts**

**5.**—(1) The appropriate agency must undertake and periodically review and (where appropriate) update, in accordance with Annex II to the WFD (characterisation of waters)—

- (a) an analysis of the characteristics of each river basin district, and
- (b) a review of the impact of human activity on the status of surface water and groundwater in each river basin district.

(2) A review and any update must be done—

- (a) by 22nd December 2019, and
- (b) subsequently, by 22nd December of the sixth year following that date and of each sixth year following that.

(3) In relation to a review of the characteristics of each river basin district under paragraph (1)(a), the appropriate agency must include in the relevant river basin management plan an explanation of the approach taken to the use of System A or B in paragraph 1.1 and 1.2 to 1.2.4 (characterisation of surface water body types) of Annex II to the WFD.

### **Classification of water bodies**

**6.** The appropriate agency must classify the status of bodies of water in accordance with Annex V to the WFD and such directions as the appropriate authority may give to the appropriate agency.

### **Economic analysis of water use in river basin districts**

**7.**—(1) The appropriate authority must undertake and periodically review and (where appropriate) update, in accordance with Annex III to the WFD (economic analysis), an economic analysis of water use in each river basin district.

(2) A review and any update must be done—

- (a) by 22nd December 2019, and
- (b) subsequently, by 22nd December of the sixth year following that date and of each sixth year following that.

## **PART 3**

### **Protected areas**

#### **Bodies of water used for the abstraction of drinking water**

**8.**—(1) The appropriate agency must identify any body of water (a “drinking water protected area”) within each river basin district which—

- (a) is used for the abstraction of water intended for human consumption and—
  - (i) provides more than 10 cubic metres of such water per day as an average, or
  - (ii) serves more than 50 persons; or
- (b) is intended to be used for the abstraction of water intended for human consumption to the extent referred to in sub-paragraph (a)(i) or (ii).

(2) For each drinking water protected area, the programme of measures for the river basin district within which it is located must include measures with the aim of avoiding deterioration in the quality of the water in that area, in order to reduce the level of purification treatment required in the production of drinking water abstracted from it.

### **Designation of shellfish waters**

**9.**—(1) The appropriate authority may designate any area of coastal or transitional water within a river basin district as a shellfish water protected area by including it in the relevant list.

(2) But an area may not be included in the relevant list unless the appropriate authority considers that to do so is necessary or desirable in order to protect or develop economically significant shellfish production.

(3) In relation to a shellfish water protected area which is wholly in England, the relevant list is the list entitled “Shellfish Waters (England) 2016” and dated 3rd March 2016.

(4) In relation to a shellfish water protected area which is wholly in Wales, the relevant list is the list entitled “Shellfish Waters (Wales) 2016” and dated 8th February 2016.

(5) The appropriate authority must periodically review and (where appropriate) revise designations made under paragraph (1).

(6) A review and any revision must be done—

- (a) by 22nd December 2021, and
- (b) subsequently, by 22nd December of the sixth year following that date and of each sixth year following that.

(7) The appropriate agency must ensure that the relevant list is—

- (a) published on its website, and
- (b) made available to the public at its principal offices.

(8) In this regulation—

“appropriate agency” means—

- (a) in relation to a shellfish water protected area that is wholly in England, the Agency;
- (b) in relation to a shellfish water protected area that is wholly in Wales, NRW;

“appropriate authority” means—

- (a) in relation to a shellfish water protected area or proposed area that is wholly in England, the Secretary of State;
- (b) in relation to a shellfish water protected area or proposed area that is wholly in Wales, the Welsh Ministers.

### **Register of protected areas**

**10.**—(1) The appropriate agency must, for each river basin district, maintain, review and keep up to date a register of the protected areas lying (whether wholly or partly) within the district.

(2) The register must include the following protected areas—

- (a) a drinking water protected area;
- (b) an area or body of water for the time being designated or otherwise identified as requiring special protection under any EU instrument providing for the protection of surface water and groundwater or for the conservation of habitats or species directly depending on water, or any enactment implementing such an EU instrument, including, in particular—
  - (i) areas designated for the protection of economically significant aquatic species (including shellfish water protected areas);
  - (ii) bodies of water designated as recreational waters;
  - (iii) nutrient-sensitive areas;

- (iv) areas designated for the protection of habitats or species where the maintenance or improvement of the status of water is an important factor in the protection of the habitats or species.

## PART 4

### Monitoring

#### Monitoring programmes

**11.**—(1) The appropriate agency must establish and keep under review programmes for monitoring water status in order to establish a coherent and comprehensive overview of water status within each river basin district.

(2) In relation to surface water, the monitoring programme must cover—

- (a) the volume and level or rate of flow to the extent relevant to ecological and chemical status and ecological potential, and
- (b) ecological and chemical status and ecological potential.

(3) In relation to groundwater, the monitoring programme must cover chemical and quantitative status.

(4) In relation to protected areas, the monitoring programme must—

- (a) cover any supplementary monitoring required by the EU legislation under which the area is protected;
- (b) provide for the monitoring of each drinking water protected area which provides on average more than 100m<sup>3</sup> per day of drinking water intended for human consumption;
- (c) for each shellfish water protected area, enable a reliable assessment to be made of whether the objectives in regulation 13(4) have been or will be achieved.

(5) The monitoring programme must comply with the following provisions of Annex V to the WFD—

- (a) points 1.3 to 1.3.4 and 1.3.6 (monitoring of ecological status, chemical status and ecological potential for surface waters);
- (b) point 1.3.5 (monitoring of drinking water abstraction points and habitats and species protection areas);
- (c) points 1.4 to 1.4.3 (classification and presentation of ecological status, chemical status and ecological potential);
- (d) points 2.2 to 2.2.4 (monitoring of groundwater quantitative status);
- (e) points 2.4 to 2.4.4 (monitoring of groundwater chemical status);
- (f) points 2.4.5 and 2.5 (interpretation and presentation of groundwater status).

(6) By 22nd December 2018, the appropriate agency must establish, for each river basin district, a monitoring programme in respect of substances 34 to 45 in the table of priority substances.

## PART 5

### Environmental objectives and programmes of measures

#### Procedure for setting environmental objectives and programmes of measures

**12.**—(1) The appropriate agency must, by such date as the appropriate authority may direct, prepare and submit to the authority proposals for—

- (a) environmental objectives for each river basin district, in accordance with regulation 13, and

- (b) a programme of measures to be applied in order to achieve those objectives, in accordance with regulation 20.
- (2) In preparing proposals under paragraph (1), the appropriate agency must—
  - (a) take account of the characterisation of, and economic analysis of water use in, the relevant river basin district carried out or updated under regulations 5 and 7, and
  - (b) take such steps as the appropriate agency thinks fit, or the appropriate authority may direct, to—
    - (i) provide opportunities for the general public and those persons likely to be interested in or affected by the appropriate agency's proposals to participate in discussion and the exchange of information or views in relation to the preparation of those proposals,
    - (ii) publicise the appropriate agency's draft proposals to those persons, and
    - (iii) consult those persons in respect of those proposals.
- (3) The appropriate authority may, having considered any proposals for environmental objectives or for a programme of measures submitted to it and any representations received by the authority in relation to those proposals—
  - (a) approve them, or any of them, in the form submitted,
  - (b) approve them, or any of them, either with modifications or subject to such modifications as the authority may direct the appropriate agency to make, or
  - (c) reject them, or any of them.
- (4) In any case falling within paragraph (3)(b) or (c), the appropriate authority must state its reasons.
- (5) Where the appropriate authority rejects any proposals, it must direct the appropriate agency to resubmit proposals by such time as the direction may specify with—
  - (a) modifications of such nature as the direction may specify, and
  - (b) any further modifications which the appropriate agency considers appropriate.
- (6) The appropriate authority must ensure that, for each river basin district, the environmental objectives and programme of measures are periodically reviewed and, where appropriate, updated—
  - (a) by 22nd December 2021, and
  - (b) subsequently, by 22nd December of the sixth year following that date and of each sixth year following that.
- (7) Where a programme of measures is updated under paragraph (6), any new or revised measures must be made operational within three years of that updating.

### **The environmental objectives**

- 13.**—(1) The environmental objectives referred to in regulation 12 are, subject to regulations 14 to 19, the following objectives for the relevant type of water body or area.
- (2) For surface water bodies, the objectives are to—
    - (a) prevent deterioration of the status of each body of surface water;
    - (b) protect, enhance and restore each body of surface water (other than an artificial or heavily modified water body) with the aim of achieving good ecological status and (subject to paragraph (3)) good surface water chemical status, if not already achieved, by 22nd December 2021;
    - (c) protect and enhance each artificial or heavily modified water body with the aim of achieving good ecological potential and (subject to paragraph (3)) good surface water chemical status, if not already achieved, by 22nd December 2021;
    - (d) aim progressively to reduce pollution from priority substances and aim to cease or phase out emissions, discharges and losses of priority hazardous substances.

(3) The objectives in paragraph (2)(b) and (c) are to be read as though they referred to achieving good surface water chemical status—

- (a) in relation to substances 2, 5, 15, 20, 22, 23 and 28 in the table of priority substances, by 22nd December 2021;
- (b) in relation to substances 34 to 45 in the table of priority substances, by 22nd December 2027.

(4) For shellfish water protected areas, in addition to the objectives under paragraph (2) for the surface water bodies in which they are located, the objectives are such objectives as are necessary or desirable to improve or protect the shellfish water protected area in order to support shellfish life and growth and to contribute to the high quality of shellfish products suitable for human consumption as the appropriate authority may direct.

(5) For groundwater bodies, the objectives are to—

- (a) prevent deterioration of the status of each body of groundwater;
- (b) prevent or limit the input of pollutants into groundwater;
- (c) protect, enhance and restore each body of groundwater, and ensure a balance between abstraction and recharge of groundwater, with the aim of achieving good groundwater chemical status and good groundwater quantitative status, if not already achieved, by 22nd December 2021;
- (d) reverse any significant and sustained upward trend in the concentration of any pollutant resulting from the impact of human activity in order to progressively reduce pollution of groundwater.

(6) For each protected area, other than a shellfish water protected area, the objective is to achieve compliance with any standards and objectives required by or under any EU instrument under which the area or body is protected—

- (a) by 22nd December 2021, if not already achieved, or
- (b) if different, by any date for compliance set in that EU instrument.

(7) Where two or more objectives set under this regulation apply to the same body of water, or the same part of a body of water, the most stringent objective applies.

### **Environmental objectives: application of regulations 15 to 19**

**14.** Regulations 15 to 19 must be applied in a way that—

- (a) does not permanently exclude or compromise the achievement of the environmental objectives set in relation to any other water body within the same river basin district;
- (b) is not inconsistent with the implementation of any other EU instrument;
- (c) guarantees at least the same level of protection for bodies of water as the EU instruments repealed by Article 22 of the WFD.

### **Artificial or heavily modified water bodies**

**15.—**(1) The appropriate agency may designate a body of surface water as artificial or heavily modified if it considers that—

- (a) the changes to the hydromorphological characteristics of that body which would be necessary for achieving good ecological status would have significant adverse effects on—
  - (i) the wider environment,
  - (ii) navigation, including port facilities, or recreation,
  - (iii) activities for the purposes of which water is stored, such as drinking water supply, power generation or irrigation,
  - (iv) water regulation, flood protection, land drainage, or



- (v) other sustainable human development activities which the appropriate agency considers are of equal importance to the matters in paragraphs (i) to (iv), and
- (b) the beneficial objectives served by the artificial or modified characteristics of the water body cannot, for reasons of technical feasibility or disproportionate cost, reasonably be achieved by other means which are a significantly better environmental option.

(2) Designations under this regulation, and an explanation for them, must be included in the relevant river basin management plan, and reviewed (and where necessary revised) when that plan is updated under Part 6.

### **Extended deadlines for environmental objectives**

**16.**—(1) The deadline by which an environmental objective referred to in regulation 13(2)(b) or (c), (5)(c) or (6) must be achieved may be extended for the purposes of the phased achievement of the environmental objectives for a body of water if the conditions in paragraphs (2) and (3) are or will be met.

(2) The condition is that no further deterioration occurs in the status of the affected body of water.

(3) The condition is that all the necessary improvements in the status of the body of water cannot reasonably be achieved within the timescales set out in the provisions of regulation 13 mentioned in paragraph (1) for one or more of the following reasons—

- (a) the scale of improvements required can only be achieved in phases exceeding the timescale for reasons of technical feasibility;
- (b) completing the improvements within the timescale would be disproportionately expensive;
- (c) natural conditions do not allow timely improvement in the status of the body of water.

(4) Subject to paragraph (5), a deadline must not be extended beyond 22nd December 2027, except in cases where the natural conditions are such that the environmental objectives cannot be achieved by that date.

(5) Paragraph (4) does not apply to the deadline specified in regulation 13(2) for an objective of aiming to achieve good surface water chemical status—

- (a) in relation to substances 2, 5, 15, 20, 22, 23 and 28 in the table of priority substances, which may be extended until 22nd December 2033;
- (b) in relation to substances 34 to 45 in the table of priority substances, which may be extended until 22nd December 2039.

(6) Where a deadline is extended under paragraph (1), the relevant river basin management plan must set out—

- (a) the extended deadline and the reasons for it,
- (b) a summary of the measures to be applied to achieve the environmental objectives set pursuant to regulation 12 which are envisaged as necessary to bring the body of water progressively to the required status by the extended deadline, and
- (c) the reasons for any significant delay in making these measures operational and the expected timetable for their implementation.

(7) Following an extension under paragraph (1), the next update of the relevant river basin management plan must include a review of the implementation of the measures referred to in paragraph (6)(b) and a summary of any additional measures necessary for the purpose set out in that paragraph.

### **Setting less stringent environmental objectives**

**17.**—(1) Less stringent environmental objectives than those required by regulation 13(2)(b) or (c), (4), (5)(c) or (6) may be set for a specific body of water where—

(a) that body of water is so affected by human activity or its natural condition is such that the achievement of the environmental objectives set would be infeasible or disproportionately expensive, and

(b) all the conditions in paragraphs (2) to (5) are or will be met.

(2) The condition is that the environmental and socio-economic needs served by such human activity cannot be achieved by other means which are a significantly better environmental option not entailing disproportionate costs.

(3) The condition is that where the body of water is a body of surface water, the highest ecological and chemical status possible is achieved, given impacts that could not reasonably have been avoided due to the nature of the human activity or pollution.

(4) The condition is that where the body of water is a body of groundwater, the least possible changes to good groundwater status occur, given impacts that could not reasonably have been avoided due to the nature of the human activity or pollution.

(5) The condition is that no further deterioration occurs in the status of the affected body of water.

(6) Where a less stringent environmental objective is set under paragraph (1)—

(a) that objective, and the reasons for it, must be set out in the relevant river basin management plan;

(b) the review of that objective in accordance with regulation 12(6) must include consideration of whether a less stringent objective should continue to be set.

### **Natural causes or force majeure**

**18.—**(1) A temporary deterioration in the status of a body of water is not a breach of the environmental objectives set for it under regulation 12 if—

(a) it is the result of—

(i) circumstances of natural cause or force majeure which are exceptional or could not reasonably have been foreseen, in particular extreme floods or prolonged droughts, or

(ii) circumstances due to accidents which could not reasonably have been foreseen, and

(b) all the conditions in paragraphs (2) to (4) are or will be met.

(2) The condition is that all practicable steps are taken—

(a) to prevent further deterioration in the status of the affected body of water, and

(b) so as not to compromise the achievement of the environmental objectives for any body of water not affected by the circumstances mentioned in paragraph (1)(a).

(3) The condition is that the river basin management plan sets out the conditions under which circumstances are exceptional or could not reasonably have been foreseen including the adoption of the appropriate indicators.

(4) The condition is that the measures to be taken under such exceptional circumstances are included in the programme of measures to be applied to the body of water and will not compromise the recovery of the quality of that body of water once the circumstances are over.

(5) Where paragraph (1) applies, the effects of the circumstances mentioned in paragraph (1)(a) must be reviewed annually and, subject to regulation 16, all practicable measures must be taken with the aim of restoring the body of water as soon as reasonably practicable to its status prior to the effects of the circumstances.

(6) The next update of the relevant river basin management plan must include a summary of the effects of the circumstances referred to in paragraph (1)(a), and of the measures taken or to be taken.

### **Modifications to physical characteristics of water bodies**

**19.**—(1) A failure to achieve good groundwater status, good ecological status or (where relevant) good ecological potential, or to prevent deterioration in the status of a body of surface water or groundwater, is not a breach of the environmental objectives set for it under regulation 12 if—

- (a) the failure is the result of new modifications to the physical characteristics of the body of surface water or alterations to the level of the body of groundwater, and
- (b) all the conditions in paragraphs (3) to (5) are or will be met.

(2) A failure to prevent deterioration from high status to good status of a body of surface water is not a breach of the environmental objectives set for it under regulation 12 if—

- (a) the failure is the result of new sustainable development activities, and
- (b) all the conditions in paragraphs (3) to (5) are or will be met.

(3) The condition is that all practicable steps are taken to mitigate the adverse impact on the status of the body of water.

(4) The condition is that one or both of the following is the case—

- (a) the reasons for the modifications or alterations, or for the sustainable development activities, are of overriding public interest;
- (b) the benefits to the environment and to society of achieving the environmental objectives are outweighed by the benefits of the new modifications or alterations, or of the sustainable development activities, to human health, to the maintenance of human safety, or (in the case of modifications or alterations) to sustainable development.

(5) The condition is that the beneficial objectives served by the modifications or alterations, or by the sustainable development activities, cannot, for reasons of technical feasibility or disproportionate cost, be achieved by other means which are a significantly better option.

(6) Where paragraph (1) or (2) applies, the reasons for the modifications or alterations, or for the sustainable development activities, must be set out and explained in the river basin management plan, and the environmental objectives must be reviewed every six years.

### **Content of programmes of measures**

**20.**—(1) Each programme of measures proposed and approved under regulation 12 must include basic measures and, where necessary, supplementary measures (see paragraph (4)).

(2) The basic measures must comply with Article 11.3 of the WFD and must, in particular, include the following—

- (a) measures required to implement the EU instruments for the protection of water listed in Schedule 3;
- (b) measures in accordance with regulation 21(1) (charges for water services);
- (c) measures to promote an efficient and sustainable water use to avoid compromising the achievement of the environmental objectives;
- (d) the measures required by regulation 8(2) (drinking water protected areas);
- (e) measures to control the abstraction of fresh surface water and groundwater, and the impoundment of fresh surface water, including a register of water abstractions and a requirement for prior authorisation for abstraction and impoundment;
- (f) measures to control the artificial recharge or augmentation of groundwater bodies, including a requirement for prior authorisation;
- (g) for point source discharges liable to cause pollution, a requirement for prior regulation or prior authorisation which sets emission controls for the pollutants concerned;
- (h) for diffuse sources liable to cause pollution, measures to prevent or control the input of pollutants;

- (i) measures to address any other significant adverse impacts on the status of water, including in particular measures to ensure that the hydromorphological conditions of each body of surface water are consistent with the achievement of the required ecological status (or good ecological potential for artificial or heavily modified water bodies);
  - (j) a prohibition of direct discharges of pollutants into groundwater, except for the following discharges which may be authorised—
    - (i) injection of water containing substances resulting from operations for exploration and extraction of hydrocarbons or mining activities, and injection of water for technical reasons, into geological formations—
      - (aa) from which hydrocarbons or other substances have been extracted, or
      - (bb) which for natural reasons are permanently unsuitable for other purposes;
    - (ii) reinjection of pumped groundwater from mines and quarries or associated with the construction or maintenance of civil engineering works;
    - (iii) injection of natural gas or liquefied petroleum gas for storage purposes into—
      - (aa) geological formations which for natural reasons are permanently unsuitable for other purposes;
      - (bb) geological formations where there is an overriding need for security of gas supply, and where the injection is such as to prevent any present or future danger of deterioration in the quality of any receiving groundwater;
    - (iv) injection of carbon dioxide streams for storage purposes into geological formations which for natural reasons are permanently unsuitable for other purposes, provided that such injection is—
      - (aa) made in accordance with Directive 2009/31/EC of the European Parliament and of the Council on the geological storage of carbon dioxide<sup>(a)</sup>, or
      - (bb) excluded from the scope of that Directive under Article 2(2) of that Directive;
    - (v) construction, civil engineering and building works and similar activities on or in the ground which come into contact with groundwater;
    - (vi) discharges of small quantities of substances for scientific purposes for characterisation, protection or remediation of a body of water limited to the amount strictly necessary for the purposes concerned;
  - (k) measures to eliminate pollution of surface waters by priority substances and to progressively reduce pollution by other substances which would otherwise prevent the achievement of the environmental objectives for bodies of surface water;
  - (l) measures required to—
    - (i) prevent significant losses of pollutants from technical installations;
    - (ii) prevent or reduce the impact of accidental pollution incidents, such as from floods.
- (3) The measures required by paragraph (2)(l) may include—
- (a) systems to detect or give warning of events which may lead to accidental pollution;
  - (b) appropriate measures to reduce the risk to aquatic ecosystems from accidents which cannot reasonably be foreseen.
- (4) Where necessary, each programme of measures must include any other supplementary measures designed and implemented with the aim of achieving the environmental objectives set under regulation 12.

### **Charges for water services**

**21.—**(1) The appropriate authority must ensure—

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<sup>(a)</sup> OJ No L 140, 5.6.2009, p114, as last amended by Directive 2011/92/EU (OJ No L 26, 28.1.2012, p1).

- (a) that water pricing policies provide adequate incentives to use water resources efficiently, and
  - (b) an adequate contribution by industry, households and agriculture, and such other sectors as the appropriate authority considers appropriate, to the recovery of the costs of water services, based on the economic analysis conducted under regulation 7.
- (2) In complying with paragraph (1), the appropriate authority—
- (a) must take account of environmental and resource costs and the polluter pays principle;
  - (b) may have regard to the social, environmental and economic effects of the cost recovery and the geographical and climatic conditions of the region or regions affected.
- (3) The appropriate authority may, in accordance with established practices, disapply paragraph (1) in relation to a particular water use activity, provided the authority considers that this does not otherwise compromise the purposes and achievement of the objectives of the WFD.

### **Further programmes of measures in relation to certain priority substances**

**22.**—(1) The appropriate agency must, by 22nd December 2018, establish a preliminary programme of measures for each river basin district in relation to substances 34 to 45 in the table of priority substances.

(2) For the purposes of a programme of measures to be updated by 22nd December 2021 under regulation 12(6)(a), the appropriate agency must, by such date as the appropriate authority directs, prepare and submit to the appropriate authority proposals for a final programme of measures for the purposes of aiming to achieve good surface water chemical status in relation to substances 34 to 45 in the table of priority substances by 22nd December 2027 and preventing deterioration in the chemical status of each body of surface water in relation to those substances.

(3) Regulation 12(2) applies to the preparation by the appropriate agency of its proposals under paragraph (2) as it does to the preparation of proposals under regulation 12(1).

(4) Without prejudice to the existing obligations, the appropriate authority must ensure that, for each river basin district, the programme of measures updated under regulation 12(6)(a) by 22nd December 2021 contains measures for the purposes of aiming to achieve good surface water chemical status in relation to substances 34 to 45 in the table of priority substances by 22nd December 2027 and preventing deterioration in the chemical status of each body of surface water in relation to those substances.

(5) Regulation 12(3) to (5) applies in relation to proposals for a programme of measures submitted to the appropriate authority under paragraph (2) as it does to proposals submitted under regulation 12(1).

(6) The appropriate authority must ensure that the measures referred to in paragraph (4) are made operational as soon as possible after 22nd December 2021, and at the latest by 22nd December 2024.

(7) In paragraph (4), “existing obligations” means the obligations (on environmental quality standards in the field of water policy) under the EQSD (“the original Directive”) before it was amended by Directive 2013/39/EU of the European Parliament and of the Council as regards priority substances in the field of water policy<sup>(a)</sup> including, in particular, the achievement of good surface water chemical status in relation to the substances and the associated environmental quality standards listed in the original Directive.

### **Action in relation to plant protection and biocidal products**

**23.**—(1) This regulation applies where the results of a report under Article 7a of the EQSD show that additional measures at European Union or member State level may be necessary in order to facilitate compliance with the WFD in relation to a particular substance approved pursuant to Regulation (EC) No 1107/2009 of the European Parliament and of the Council

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(a) OJ No L 226, 24.8.2013, p1.

concerning the placing of plant protection products on the market<sup>(a)</sup> or Regulation (EU) No 528/2012 of the European Parliament and of the Council concerning the making available on the market and use of biocidal products<sup>(b)</sup>.

(2) The competent authority must apply Article 44 of Regulation (EC) No 1107/2009 or Article 48 of Regulation (EU) No 528/2012, as appropriate, to the relevant substance referred to in paragraph (1), or products containing that substance.

(3) In applying the provisions referred to in paragraph (2), the competent authority must take into account any risk evaluations and socio-economic or cost-benefit analyses required under Regulation (EC) No 1107/2009 or Regulation (EU) No 528/2012, including as regards the availability of alternatives.

(4) The competent authority for the purposes of this regulation is the person defined as the competent authority for England or Wales—

- (a) in respect of Regulation (EC) No 1107/2009, under regulation 3 of the Plant Protection Products Regulations 2011<sup>(c)</sup>;
- (b) in respect of Regulation (EU) No 528/2012, under regulation 5 of the Biocidal Products and Chemicals (Appointment of Authorities and Enforcement) Regulations 2013<sup>(d)</sup>.

### Implementation of programmes of measures

**24.**—(1) The appropriate authority or, in the exercise of its relevant functions, the appropriate agency must ensure that the implementation of measures required under regulations 20 and 22 does not lead—

- (a) to increased pollution of marine waters;
- (b) directly or indirectly to increased pollution of surface waters.

(2) Paragraph (1) does not apply to the extent that compliance with it would result in increased pollution of the environment as a whole.

### Action where environmental objectives are unlikely to be achieved

**25.** Where monitoring or other data indicate that the environmental objectives set for a body of water under regulation 12 are unlikely to be achieved, the appropriate agency or, where relevant, the appropriate authority must ensure that—

- (a) the causes of the possible failure are investigated,
- (b) relevant permits and authorisations are examined and reviewed as appropriate,
- (c) the monitoring programmes under regulation 11 are reviewed and adjusted as appropriate, and
- (d) such additional measures as may be necessary to achieve those objectives (subject to the application of regulations 15 to 19) are included in the programme of measures applying to that body of water.

## PART 6

### River basin management plans

#### Application of this Part

**26.** This Part applies in relation to —

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- (a) OJ No L 309, 24.11.2009, p1, as last amended by Regulation (EU) No 652/2014 (OJ No L 189, 27.6.2014, p1).
  - (b) OJ No L 167, 27.6.2012, p1, as last amended by Regulation (EU) No 334/2014 (OJ L 103, 5.4.2014, p22).
  - (c) S.I. 2011/2131, to which there are amendments not relevant to these Regulations.
  - (d) S.I. 2013/1506, to which there are amendments not relevant to these Regulations.

- (a) the most recent version of each river basin management plan prepared and updated under the 2003 Regulations, and
- (b) any subsequent version of such a plan updated under this Part.

#### **River basin management plans: content**

**27.**—(1) A river basin management plan must—

- (a) relate to such period as the appropriate authority may direct;
- (b) include the information required by the relevant provisions of the WFD, the GWD and the EQSD specified in paragraphs (2) to (4);
- (c) in relation to any shellfish water protected area, include the information required by paragraph (2)(d) in relation to protected areas.

(2) The relevant provisions of the WFD are—

- (a) Article 9(2) and (4) (recovery of the costs of water services);
- (b) Annex II, section 1.3(vi) (exclusion of elements from the assessment of ecological status);
- (c) the following provisions of Annex V—
  - (i) points 1.3 and 1.3.4 (confidence and precision in monitoring surface water);
  - (ii) point 2.4.1 (confidence and precision in monitoring groundwater);
  - (iii) points 2.4.5 and 2.5 (presentation of monitoring results for groundwater);
- (d) Annex VII, Parts A and B (elements to be covered in river basin management plans).

(3) The relevant provisions of the GWD are—

- (a) Article 3(5) and (6) (the threshold values and revisions to them);
- (b) Article 4(4) (summary of assessment of groundwater chemical status);
- (c) Article 5(4) and (5) (trend assessment and starting points);
- (d) Annex II Part C (how groundwater threshold values have been set);
- (e) Annex III, point 5 (maps showing exceedances).

(4) The relevant provisions of the EQSD are—

- (a) Article 3(5) (information about monitoring and analysis, including alternative matrices);
- (b) Article 4(2) (information relating to mixing zones);
- (c) Article 5(4) (updated inventories).

#### **Review of river basin management plans**

**28.** The appropriate agency must, by such date as the appropriate authority directs—

- (a) review and update each river basin management plan, and
- (b) submit each updated plan to the appropriate authority.

#### **River basin management plans: public participation**

**29.**—(1) The appropriate agency must—

- (a) not less than three years before the relevant date, publish a statement of—
  - (i) the steps and consultation measures the appropriate agency is to take in connection with the preparation of the updated plan, and
  - (ii) the dates by which those steps and measures are to be taken;
- (b) not less than two years before the relevant date, publish a summary of the significant water management matters which the appropriate agency considers arise for consideration in relation to the river basin district;

- (c) not less than one year before the relevant date, publish a draft of the updated plan.
- (2) The appropriate agency must carry out the publication required by paragraph (1) in such manner as the appropriate agency considers appropriate for the purpose of bringing it to the attention of persons likely to be affected, and must—
  - (a) make copies of the statement, summary or draft updated plan accessible to the public free of charge through its website and at its principal office;
  - (b) publish a notice—
    - (i) stating the fact of publication,
    - (ii) specifying the arrangements made for making copies of the statement, summary or draft updated plan available for public inspection, and
    - (iii) stating that any person may make representations to the appropriate agency in relation to the statement, summary or draft updated plan;
  - (c) consult the persons referred to in paragraph (4);
  - (d) take such steps as the appropriate agency thinks fit, or the appropriate authority may direct, to provide opportunities for the general public and the persons referred to in paragraph (4) to participate in discussion and the exchange of information or views in relation to the preparation of the draft updated plan;
  - (e) invite the public and the persons referred to in paragraph (4) to make representations in relation to the draft updated plan.
- (3) A notice required by paragraph (2)(b) must be published—
  - (a) in the London Gazette, and
  - (b) at least once in each of two successive weeks, in one or more newspapers circulating in the river basin district to which the updated plan relates.
- (4) The persons to be consulted are—
  - (a) the appropriate authority;
  - (b) the Water Services Regulation Authority;
  - (c) the appropriate nature conservation bodies;
  - (d) every local authority any part of whose area is within the river basin district;
  - (e) every local planning authority any part of whose area is within the river basin district;
  - (f) where any part of the river basin district has been designated as a National Park, the National Park authority for that National Park;
  - (g) where any part of the river basin district contains a shellfish water protected area, the Food Standards Agency;
  - (h) the harbour authority for each harbour in the river basin district;
  - (i) every navigation authority having functions in relation to any part of the river basin district;
  - (j) every water undertaker or sewerage undertaker any part of whose area is within the river basin district;
  - (k) any inshore fisheries and conservation authority for an inshore fisheries and conservation district any part of which lies within the river basin district;
  - (l) such persons as appear to the appropriate agency, in relation to the river basin district—
    - (i) to be representative of the interests of those carrying on any business which relies upon the water environment,
    - (ii) to have an interest in the protection of the water environment, or
    - (iii) to have an interest in the promotion of flood management;
  - (m) such other persons as—
    - (i) the appropriate agency thinks fit;



(ii) the appropriate authority may direct.

(5) In this regulation—

- (a) “appropriate nature conservation bodies” means the Joint Nature Conservation Committee, and—
  - (i) in relation to a river basin district that is wholly in England, Natural England;
  - (ii) in relation to a river basin district that is partly in England and partly in Wales, Natural England in relation to the part in England;
- (b) “harbour” and “harbour authority” have the meanings given by section 57 of the Harbours Act 1964<sup>(a)</sup>;
- (c) “inshore fisheries and conservation authority” means the inshore fisheries and conservation authority for an inshore fisheries and conservation district;
- (d) “inshore fisheries and conservation district” means an inshore fisheries and conservation district established by an order under section 149(1) of the Marine and Coastal Access Act 2009<sup>(b)</sup>;
- (e) “local authority” means the council of any county, county borough, district or London borough, the Common Council of the City of London or the Greater London Authority;
- (f) “local planning authority” has the meaning given by section 1 of the Town and Country Planning Act 1990<sup>(c)</sup>;
- (g) “navigation authority” has the meaning given by section 221(1) of the Water Resources Act 1991<sup>(d)</sup>;
- (h) “the relevant date”, in relation to a plan, means—
  - (i) if a period of time has been directed under regulation 27(1)(a), the date on which that period begins, or
  - (ii) otherwise, the date by which the appropriate authority is next required under regulation 31(5) to publish an updated plan.

(6) The appropriate agency must take into account any representations relating to a statement, summary or draft updated plan published in accordance with paragraph (1) which are received by the appropriate agency within the period of six months beginning with the date of publication or such longer period as the appropriate authority may direct.

### **River basin management plans: submission for approval**

**30.**—(1) As soon as an updated river basin management plan is submitted to the appropriate authority under regulation 28, the appropriate agency must—

- (a) make copies of the plan accessible to the public free of charge through its website and at its principal office, and
- (b) publish a notice—
  - (i) stating the fact of submission, and
  - (ii) specifying the arrangements made for making copies of the plan accessible to the public.

(2) An updated plan submitted to the appropriate authority must be accompanied by—

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(a) 1964 c. 40; section 57 was amended by paragraph 33 of Schedule 12 to the Merchant Shipping Act 1995 (c. 21); there are other amendments not relevant to these Regulations.

(b) 2009 c. 23.

(c) 1990 c. 8; section 1 was amended paragraph 28 of Schedule 21 to the Leasehold Reform, Housing and Urban Development Act 1993 (c. 28), section 18 of, and paragraph 1 of Schedule 18 to, the Local Government (Wales) Act 1994 (c. 19), paragraph 32(1) of Schedule 10 and paragraph 1 of Schedule 24 to the Environment Act 1995 (c. 25) and section 31(1) of the Greater London Authority Act 2007 (c. 24).

(d) 1991 c. 57; there are amendments to section 221(1) not relevant to these Regulations.

- (a) a statement of the steps taken by the appropriate agency to comply with regulation 29(1) to (4) (public participation), and
  - (b) a summary of the representations referred to in regulation 29(6) and of any changes made to the plan in light of those representations.
- (3) If the appropriate authority considers in relation to a plan that further action should be taken by the appropriate agency under regulation 29(2) to (4), the appropriate authority may direct the appropriate agency—
- (a) to take such further steps under those provisions as the appropriate authority may specify in the direction, and
  - (b) to resubmit the updated plan within such period, if any, as the appropriate authority may specify in the direction.
- (4) Where the appropriate authority gives a direction to the appropriate agency under paragraph (3), it must state its reasons for doing so.
- (5) This regulation applies in relation to a river basin management plan resubmitted to the appropriate authority in accordance with a direction under paragraph (3) as it applies to the plan as originally submitted, with the modification that, for the reference in paragraph (3) to regulation 29(2) to (4), there is substituted a reference to this regulation.

#### **River basin management plans: approval**

- 31.—**(1) The appropriate authority may, having considered an updated river basin management plan submitted to it and any representations received by the authority in relation to that plan—
- (a) approve it, in whole or in part, in the form submitted,
  - (b) approve it, in whole or in part, either with modifications or subject to such modifications as the appropriate authority may direct the appropriate agency to make, or
  - (c) reject it.
- (2) In any case falling within paragraph (1)(b) or (c), the appropriate authority must state its reasons.
- (3) Where the appropriate authority rejects an updated plan, it must direct the appropriate agency to resubmit the plan, by such time, if any, as the direction may specify, with—
- (a) modifications of such nature as the direction may specify, and
  - (b) any further modifications which the appropriate agency considers appropriate.
- (4) Where the appropriate authority approves an updated plan, the appropriate agency must publish the approved plan in such manner as the appropriate agency thinks fit for the purpose of bringing the plan to the attention of the general public and of those persons likely to be interested in or affected by it and, in particular, must—
- (a) make copies of the approved plan accessible to the public free of charge through its website and at its principal office, and
  - (b) publish a notice—
    - (i) stating that the plan has been approved, and
    - (ii) specifying the arrangements made for making copies of the plan accessible to the general public.
- (5) The appropriate authority must ensure that an updated plan is published—
- (a) by 22nd December 2021, and
  - (b) subsequently, by 22nd December of the sixth year following that date and of each sixth year following that.

### **Supplementary plans**

**32.**—(1) The appropriate agency may prepare a supplementary plan for the purposes of supplementing the river basin management plan for a river basin district.

(2) A plan prepared under paragraph (1) may, for example, relate to—

- (a) a particular description of body of water;
- (b) a particular catchment or geographical area;
- (c) a particular matter relating to, or aspect of, the water environment;
- (d) a particular description of user of water resources.

(3) The appropriate agency must, in relation to the preparation of a supplementary plan, consult such of the persons referred to in regulation 29(4) and such other persons likely to be interested in or affected by that plan as the appropriate agency thinks fit, and must take into account any views expressed by those consulted.

### **River basin management plans: duties on public bodies**

**33.** The Secretary of State, the Welsh Ministers, the Agency, NRW and each public body must, in exercising their functions so far as affecting a river basin district, have regard to—

- (a) the river basin management plan for that district as approved under regulation 31, and
- (b) any supplementary plan prepared under regulation 32.

## **PART 7**

### **General**

### **Publication of information**

**34.**—(1) The appropriate agency must make accessible to the public at its principal office—

- (a) the results of the work required by regulation 5 (characterisation of river basin districts);
- (b) maps showing bodies of water identified under regulation 8 (bodies of water used for the abstraction of drinking water);
- (c) the registers prepared under regulation 10 (register of protected areas);
- (d) the results of the monitoring programmes established under regulation 11 (monitoring);
- (e) the environmental objectives and programmes of measures proposed or approved under regulation 12 (environmental objectives and programmes of measures);
- (f) any supplementary plan prepared under regulation 32 (supplementary plans).

(2) Where the appropriate authority approves (with or without modifications) proposals made by the appropriate agency under regulation 12(1) (environmental objectives and programmes of measures), the appropriate agency must publish a notice—

- (a) stating that the proposals have been approved, and
- (b) specifying the arrangements made for making the approved objectives and programme of measures accessible to the public.

(3) The appropriate authority must make accessible to the public through its website and at its principal office the results of the analysis conducted under regulation 7 (economic analysis of water use in river basin districts).

(4) The appropriate authority must ensure that an interim report describing progress in the implementation of the planned programme of measures required to be produced under Article 15(3) of the WFD is made available via a central portal which is accessible to the public

electronically in accordance with Article 7(1) of Directive 2003/4/EC of the European Parliament and of the Council on public access to environmental information(a).

### **Provision of information and assistance**

**35.**—(1) A public body must, on being requested to do so by the appropriate agency, provide the agency with such information in its possession or under its control and such assistance as the appropriate agency may reasonably seek in connection with the exercise of any of the appropriate agency's functions under these Regulations.

(2) Section 202 of the Water Resources Act 1991 (information and assistance in connection with the control of pollution)(b) has effect as if functions under these Regulations were functions under the water pollution provisions of that Act.

### **Directions**

**36.**—(1) Section 40 of the Environment Act 1995 (directions to agencies)(c) has effect as if the power in subsection (2) to give directions included a power for the appropriate authority to give directions to any public body for the purposes of giving effect to the WFD.

(2) Section 122 of the Environment Act 1995 (directions)(d) applies in relation to any direction given by virtue of paragraph (1) of this regulation.

(3) Article 11 of the Natural Resources Body for Wales (Establishment) Order 2012 (directions to the Natural Resources Body for Wales)(e) has effect as if the power in paragraph (3) of that Order to give directions included a power for the appropriate authority to give directions to any public body for the purposes of giving effect to the WFD.

(4) Article 11A of the Natural Resources Body for Wales (Establishment) Order 2012 (further provision about directions) applies in relation to any direction given by virtue of paragraph (3) of this regulation.

(5) The appropriate authority may give guidance to the appropriate agency or to any other public body with respect to the practical implementation of the WFD, and that agency or public body must have regard to it.

### **Revocation**

**37.** The 2003 Regulations are revoked.

### **Transitional provision**

**38.**—(1) Anything done under the 2003 Regulations (whether or not subsequently revised), and which has not been superseded at the time these Regulations come into force, continues to have effect and is taken to have been done under these Regulations.

(2) Paragraph (1) applies to (but is not limited to) the following—

- (a) the analysis of river basin characteristics and review of impact of human activity required to be undertaken by 22nd December 2004 under regulation 5 of the 2003 Regulations;
- (b) the economic analysis required to be undertaken by 22nd December 2004 under regulation 6 of the 2003 Regulations;
- (c) the identification of water bodies from which water is abstracted for human consumption under regulation 7 of the 2003 Regulations;

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(a) OJ No L 41, 14.2.2003, p26.

(b) Section 202 was amended by paragraph 172 of Schedule 22 and paragraph 1 of Schedule 24 to the Environment Act 1995 (c. 25) and by S.I. 2013/755 (W. 90).

(c) 1995 c. 25; section 40 was amended by S.I. 2011/1043, 2013/755 (W. 90).

(d) Section 122 was amended by S.I. 2011/1043; there are other amending instruments but none is relevant.

(e) S.I. 2012/1903, amended by section 5 of the Environment (Wales) Act 2016 (anaw 3) and by S.I. 2013/755 (W. 90). There are other amendments not relevant to these Regulations.

- (d) the designation of shellfish water protected areas under regulation 7A of the 2003 Regulations;
- (e) the establishment of a register of protected areas required by 22nd December 2004 under regulation 8 of the 2003 Regulations;
- (f) the establishment of operational monitoring programmes required by 22nd December 2006 under regulation 9 of the 2003 Regulations;
- (g) the setting of environmental objectives for each body of water and the establishment of programmes of measures required by 22nd December 2009 under regulation 10 of the 2003 Regulations;
- (h) the approval of updated river basin management plans required by 22nd December 2015 under regulations 14 and 15 of the 2003 Regulations.

### Consequential amendments

39. The consequential amendments in Schedule 4 have effect.

7th March 2017

*Thérèse Coffey*  
Parliamentary Under Secretary of State  
Department for Environment, Food and Rural Affairs

15th March 2017

*Carwyn Jones*  
First Minister of Wales

## SCHEDULE 1

Regulation 2(3)

### Directive definitions

1.—(1) In the definitions in paragraph (2) (which derive from the WFD)—

- (a) a reference to an Article or Annex is a reference to an Article or Annex of the WFD (unless otherwise specified);
- (b) a reference to a regulation is a reference to a regulation in these Regulations.

(2) The definitions are—

“aquifer” means a subsurface layer or layers of rock or other geological strata of sufficient porosity and permeability to allow either a significant flow of groundwater or the abstraction of significant quantities of groundwater;

“body of groundwater” means a distinct volume of groundwater within an aquifer or aquifers;

“body of surface water” means a discrete and significant element of surface water such as a lake, a reservoir, a stream, river or canal, part of a stream, river or canal, a transitional water or a stretch of coastal water;

“coastal water” means surface water on the landward side of a line, every point of which is at a distance of one nautical mile on the seaward side from the nearest point of the baseline from which the breadth of territorial waters is measured, extending where appropriate up to the outer limit of transitional waters;

“direct discharges of pollutants into groundwater” means the discharge of pollutants into groundwater without percolation through the soil or subsoil;

“ecological status” is an expression of the quality of the structure and functioning of aquatic ecosystems associated with surface waters, classified in accordance with Annex V and such Directions as the appropriate authority may give to the appropriate agency;

“good ecological potential” means the status of an artificial or heavily modified water body classified as such in accordance with the relevant provisions of Annex V and such Directions as the appropriate authority may give to the appropriate agency;

“good ecological status” means the status of a body of surface water classified as such in accordance with the relevant provisions of Annex V and such Directions as the appropriate authority may give to the appropriate agency;

“good groundwater status” means the status of a body of groundwater when both its chemical and quantitative status are at least good;

“good groundwater chemical status” means the chemical status of a body of groundwater which meets all the conditions set out in table 2.3.2 of Annex V;

“good surface water chemical status” means the chemical status required to meet the environmental objectives for surface waters under regulation 13(2), that is the chemical status achieved by a body of surface water in which concentrations of pollutants do not exceed the environmental quality standards established by the table of priority substances, and under other relevant EU legislation setting environmental quality standards at EU level;

“groundwater” means all water which is below the surface of the ground in the saturation zone and in direct contact with the ground or subsoil;

“groundwater status” is the general expression of the status of a body of groundwater, determined by the poorer of its quantitative status and chemical status;

“hazardous substances” means substances or groups of substances that are toxic, persistent and liable to bio-accumulate, and other groups of substances which give rise to an equivalent level of concern;

“inland water” means all standing and flowing water on the surface of the land, and all groundwater on the landward side of the baseline from which the breadth of the territorial sea is measured;

“lake” means a body of standing inland surface water;

“pollutant” means any substance liable to cause pollution, including those listed in Annex VIII;

“pollution” means the direct or indirect introduction, as a result of human activity, of substances or heat into the air, water or land which may be harmful to human health or the quality of aquatic ecosystems or terrestrial ecosystems directly depending on aquatic ecosystems, which result in damage to material property, or which impair or interfere with amenities and other legitimate uses of the environment;

“priority substance” and “priority hazardous substance” means a substance identified as such in Annex X to the WFD;

“quantitative status” is an expression of the degree to which a body of groundwater is affected by direct and indirect abstractions;

“river” means a body of inland water flowing for the most part on the surface of the land but which may flow underground for part of its course;

“river basin” means the area of land from which all surface run-off flows through a sequence of streams, rivers and, possibly, lakes into the sea at a single river mouth, estuary or delta;

“surface water” means inland waters, except groundwater; transitional waters and coastal waters except in respect of chemical status for which it shall also include territorial waters;

“surface water status” means the status of a body of surface water, determined by the poorer of its ecological status and chemical status;

“transitional waters” means bodies of surface water in the vicinity of river mouths which are partly saline in character as a result of their proximity to coastal waters but which are substantially influenced by freshwater flow;

“water services” means all services which provide, for households, public institutions or any economic activity—

- (a) abstraction, impoundment, storage, treatment and distribution of surface water or groundwater;
- (b) waste-water collection and treatment facilities which subsequently discharge into surface water;

“water use” means water services together with any other activity identified as having a significant impact on the status of water in the analyses carried out under regulations 5 and 7 in accordance with Annex II.

## SCHEDULE 2

Regulation 2(1)

### Enactments in relation to which duties in regulation 3 apply

#### PART 1

##### Statutes

- 1.** Section 2(2) of the European Communities Act 1972(**a**).
- 2.** The Salmon and Freshwater Fisheries Act 1975(**b**).
- 3.** Parts 2 and 2A of the Environmental Protection Act 1990 (waste on land and contaminated land)(**c**).
- 4.** Part 4 of the Water Industry Act 1991 (sewerage services)(**d**).
- 5.** Parts 2 to 5 and 7 to 9 of the Water Resources Act 1991 (water resources management; control of pollution of water resources; flood defence; general control of fisheries; land and works powers; information provisions; miscellaneous and supplemental)(**e**).
- 6.** The Land Drainage Act 1991(**f**).
- 7.** The Environment Act 1995(**g**).
- 8.** Sections 3, 4, 10, 81 and 83 of the Water Act 2003 (abstraction and impoundment; duties to conserve water)(**h**).
- 9.** Part 4 of the Marine and Coastal Access Act 2009 (marine licensing)(**i**).
- 10.** The Flood and Water Management Act 2010(**j**).
- 11.** Section 61 of the Water Act 2014 (regulation of the water environment)(**k**).

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(a) 1972 c. 68; section 2(2) was amended by section 27 of the Legislative and Regulatory Reform Act 2006 (c. 51) and section 3 of, and Part 1 of the Schedule to, the European Union (Amendment) Act 2008 (c. 7).

(b) 1975 c. 51.

(c) 1990 c. 43.

(d) 1991 c. 56.

(e) 1991 c. 57.

(f) 1991 c. 59.

(g) 1995 c. 25.

(h) 2003 c.37; sections 3, 4 and 10 were amended by S.I. 2013/755.

(i) 2009 c. 23.

(j) 2010 c. 29.

(k) 2014 c. 21.

## PART 2

### Subordinate instruments

- 12.** The Sludge (Use in Agriculture) Regulations 1989**(a)**.
- 13.** The Urban Waste Water Treatment (England and Wales) Regulations 1994**(b)**.
- 14.** The Water Protection Zone (River Dee Catchment) (Procedural and Other Provisions) Regulations 1999**(c)**.
- 15.** The Control of Pollution (Oil Storage) (England) Regulations 2001**(d)**.
- 16.** The Water Resources (Environmental Impact Assessment) (England and Wales) Regulations 2003**(e)**.
- 17.** The Urban Waste Water Treatment (England and Wales) (Amendment) Regulations 2003**(f)**.
- 18.** The Environmental Damage (Prevention and Remediation) (Wales) Regulations 2009**(g)**.
- 19.** The Eels (England and Wales) Regulations 2009**(h)**.
- 20.** The Water Resources (Control of Pollution) (Silage, Slurry and Agricultural Fuel Oil) (England) Regulations 2010**(i)**.
- 21.** The Water Resources (Control of Pollution) (Silage, Slurry and Agricultural Fuel Oil) (Wales) Regulations 2010**(j)**.
- 22.** The Natural Resources Body for Wales (Establishment) Order 2012**(k)**.
- 23.** The Bathing Water Regulations 2013**(l)**.
- 24.** The Nitrate Pollution Prevention (Wales) Regulations 2013**(m)**.
- 25.** The Keeping and Introduction of Fish (Wales) Regulations 2014**(n)**.
- 26.** The Keeping and Introduction of Fish (England and River Esk Catchment Area) Regulations 2015**(o)**.
- 27.** The Control of Major Accident Hazards Regulations 2015**(p)**.
- 28.** The Nitrate Pollution Prevention Regulations 2015**(q)**.
- 29.** The Environmental Damage (Prevention and Remediation) (England) Regulations 2015**(r)**.
- 30.** The Water Environment (Control of Pollution) (Oil Storage) (Wales) Regulations 2016**(s)**.

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- (a) S.I. 1989/1263; relevant amending instruments are S.I. 1990/880, 1996/593, 2000/656, 2010/1159, 1820 (W.177), 2013/755 (W. 90).
- (b) S.I. 1994/2841, amended by S.I. 2003/1788, 2005/2035, 2010/675, 2011/556, 2013/755 (W. 90), 2016/1154.
- (c) S.I. 1999/916, amended by S.I. 2013/755 (W. 90).
- (d) S.I. 2001/2954, amended by S.I. 2010/1159 and 2016/1154.
- (e) S.I. 2003/164; relevant amending instruments are S.I. 2006/3124, 2010/1159, 2013/755 (W. 90).
- (f) S.I. 2003/1788, amended by S.I. 2013/755 (W. 90).
- (g) S.I. 2009/995 (W. 81); relevant amending instruments are S.I. 2012/630, 2013/755 (W. 90), 2015/1394 (W. 138), 2015/1937 and 2016/1154.
- (h) S.I. 2009/3344, amended by S.I. 2011/2976, 2013/755 (W. 90).
- (i) S.I. 2010/639, amended by S.I. 2010/1091.
- (j) S.I. 2010/1493 (W. 136), amended by S.I. 2013/755 (W. 90) and 2016/359 (W. 112).
- (k) S.I. 2012/1903 (W. 230).
- (l) S.I. 2013/1675, amended by S.I. 2013/2363, 2014/1067 (W. 106), 2016/314 (W. 103), 600.
- (m) S.I. 2013/2506 (W. 245), amended by S.I. 2015/2020 (W. 308).
- (n) S.I. 2014/3303 (W. 336).
- (o) S.I. 2015/10.
- (p) S.I. 2015/483, amended by S.I. 2016/1154; there are other amendments not relevant to these Regulations.
- (q) S.I. 2015/668, amended by S.I. 2016/1190, 1254.
- (r) S.I. 2015/810, amended by S.I. 2015/1391, 2016/1154.
- (s) S.I. 2016/359 (W. 112), amended by S.I. 2016/1154.



**31. The Environmental Permitting (England and Wales) Regulations 2016(a).**

**SCHEDULE 3**

Regulation 20(2)(a)

**EU instruments for the protection of water**

- 1.** Council Directive 91/271/EEC concerning urban waste-water treatment(b).
- 2.** Council Directive 91/676/EEC concerning the protection of waters against pollution caused by nitrates from agricultural sources(c).
- 3.** Council Directive 92/43/EEC on the conservation of natural habitats and of wild fauna and flora(d).
- 4.** Council Directive 98/83/EC on the quality of water intended for human consumption(e).
- 5.** Directive 2006/7/EC of the European Parliament and of the Council concerning the management of bathing water quality(f).
- 6.** Directive 2006/118/EC of the European Parliament and of the Council on the protection of groundwater against pollution and deterioration(g).
- 7.** Directive 2008/105/EC of the European Parliament and of the Council on environmental quality standards in the field of water policy(h).
- 8.** Directive 2009/147/EU of the European Parliament and of the Council on the conservation of wild birds(i).
- 9.** Directive 2010/75/EU of the European Parliament and of the Council on industrial emissions (integrated pollution prevention and control)(j).
- 10.** Directive 2011/92/EU of the European Parliament and of the Council on the assessment of the effects of certain public and private projects on the environment(k).
- 11.** Directive 2012/18/EU of the European Parliament and of the Council on the control of major-accident hazards involving dangerous substances(l).

**SCHEDULE 4**

Regulation 39

**Consequential amendments**

**The Water Environment (Water Framework Directive) (Northumbria River Basin District) Regulations 2003**

**1.—(1)** The Water Environment (Water Framework Directive) (Northumbria River Basin District) Regulations 2003(m) are amended as follows.

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- (a) S.I. 2016/1154.  
 (b) OJ No L 135, 30.5.1991, p40, as last amended by Council Directive 2013/64/EU (OJ No L 353, 28.12.2013, p8).  
 (c) OJ No L 375, 31.12.1991, p1, as last amended by Regulation (EC) No 1137/2008 (OJ No L 311, 21.11.2008, p1).  
 (d) OJ No L 206, 22.7.1992, p7, as last amended by Council Directive 2013/17/EU (OJ No L 158, 10.6.2013, p193).  
 (e) OJ No L 330, 5.12.1998, p32, as last amended by Commission Directive (EU) 2015/1787 (OJ No L 260, 7.10.2015, p6).  
 (f) OJ No L 64, 4.3.2006, p37, as last amended by Council Directive 2013/64/EU (OJ No L 353, 28.12.2013, p8).  
 (g) OJ No L 372, 27.12.2006, p19, as last amended by Commission Directive 2014/80/EU (OJ No L 182, 21.6.2014, p52).  
 (h) OJ No L 348, 24.12.2008, p84, as last amended by Directive 2013/39/EU (OJ No L 226, 24.8.2013, p1).  
 (i) OJ No L 20, 26.1.2010, p7, as last amended by Council Directive 2013/17/EU (OJ No L 158, 10.6.2013, p193).  
 (j) OJ No L 334, 17.12.2010, p17.  
 (k) OJ No L 26, 28.1.2012, p1, as last amended by Directive 2014/52/ EU (OJ No L 124, 25.4.2014, p1).  
 (l) OJ No L 197, 24.7.2012, p1.  
 (m) S.I. 2003/3245, amended by S.I. 2016/139.

- (2) In regulation 2—
- (a) in the definition of “the principal Regulations”, for “the Water Environment (Water Framework Directive) (England and Wales) Regulations 2003” substitute “the Water Environment (Water Framework Directive) (England and Wales) Regulations 2017”;
  - (b) in paragraph (a) of the definition of “relevant function”, for “regulations 5 to 20” substitute “regulations 5 to 36”.
- (3) Regulation 5 is amended as follows—
- (a) in paragraph (1A)—
    - (i) in sub-paragraph (a), for “regulations 2, 5 to 18, 19 and 20” substitute “regulations 2, 5 to 22, 24 to 36, 38 and Schedules 1 and 3”;
    - (ii) in sub-paragraph (b), for “regulation 18A” substitute “regulation 23”;
  - (b) in paragraph (2)(b), for “paragraphs (1A) and (1B)” substitute “paragraph (2)”;
  - (c) in paragraph (2C)—
    - (i) for “Regulation 7A” substitute “Regulation 9”;
    - (ii) for “paragraph (7)” substitute “paragraph (8)”;
  - (d) in paragraph (3)—
    - (i) in the words before paragraph (a), for “Regulation 12” substitute “Regulation 29”;
    - (ii) for sub-paragraph (c)(iv) substitute—
      - “(iv) after sub-paragraph (j) there were inserted—
      - “(ja) Scottish Water;”;
    - (iii) in sub-paragraph (d), for sub-paragraph (h) of the substituted paragraph (5) substitute—
      - “(h) “the relevant date”, in relation to a plan, means—
      - (i) if a period of time has been directed under regulation 27(1)(a), the date on which that period begins, or
      - (ii) otherwise, the date by which the Secretary of State is next required under regulation 31(5) to publish an updated plan;”;
  - (e) in paragraph (4), for “Regulation 13” substitute “Regulation 30”;
  - (f) in paragraph (5)—
    - (i) for “Regulation 14” substitute “Regulation 31”;
    - (ii) for “paragraph 3(a)” substitute “paragraph 4(a)”;
  - (g) in paragraph (6)—
    - (i) for “Regulation 17” substitute “Regulation 33”;
    - (ii) for “the NRBW” substitute “NRW”.
- (4) Regulation 6 is amended as follows—
- (a) in paragraph (3)(b)(i) —
    - (i) for “regulation 14” substitute “regulation 31”;
    - (ii) for “regulation 16” substitute “regulation 32”;
  - (b) in paragraph (5), for “regulation 14” substitute “regulation 31”.

## **The Flood Risk Regulations 2009**

**2.—**(1) The Flood Risk Regulations 2009(a) are amended as follows.

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(a) S.I. 2009/3042, amended by S.I. 2010/1102; there are other amendments not relevant to these Regulations.

(2) In regulation 8, for “the Water Environment (Water Framework Directive) (England and Wales) Regulations 2003” substitute “the Water Environment (Water Framework Directive) (England and Wales) Regulations 2017”.

(3) In regulation 8A, for the words from “means” to the end, substitute “has the same meaning as in the Water Environment Regulations”.

(4) In regulation 21(2)—

(a) in sub-paragraph (a), for “regulation 8(2)(a) or (b)(ii) or (iv)” substitute “regulation 10(2)(a) or 10(2)(b)(ii) or (iv)”;

(b) in sub-paragraph (b), for “regulation 8(1)” substitute “regulation 10(1)”.

### **The Marine Strategy Regulations 2010**

**3.** In Schedule 2 to the Marine Strategy Regulations 2010(a), for “the Water Environment (Water Framework Directive) (England and Wales) Regulations 2003” substitute “the Water Environment (Water Framework Directive) (England and Wales) Regulations 2017”.

### **The Bathing Water Regulations 2013**

**4.**—(1) The Bathing Water Regulations 2013(b) are amended as follows.

(2) In regulation 5(2), for “the Water Environment (Water Framework Directive) (England and Wales) Regulations 2003” substitute “the Water Environment (Water Framework Directive) (England and Wales) Regulations 2017”.

(3) In regulation 7(3)(a), for “the Water Environment (Water Framework Directive) (England and Wales) Regulations 2003” substitute “the Water Environment (Water Framework Directive) (England and Wales) Regulations 2017”.

### **The London Underground (Northern Line Extension) Order 2014**

**5.** In Part 3 of Schedule 8 to the London Underground (Northern Line Extension) Order 2014(c), in paragraph 30(2), for “the Water Environment (Water Framework Directive) (England and Wales) Regulations 2003” substitute “the Water Environment (Water Framework Directive) (England and Wales) Regulations 2017”.

## **EXPLANATORY NOTE**

*(This note is not part of the Regulations)*

These Regulations revoke and replace the Water Environment (Water Framework Directive) (England and Wales) Regulations 2003 (S.I. 2003/3242) (“the 2003 Regulations”) which have been amended by several instruments. They continue to transpose for England and Wales Directive 2000/60/EC of the European Parliament and of the Council establishing a framework for Community action in the field of water policy) (OJ No L 327, 22.12.2000, p1) (“the WFD”) and also transpose aspects of Directive 2006/118/EEC of the European Parliament and of the Council on the protection of groundwater against pollution and deterioration (OJ No L 372, 27.12.2006, p19) (“the GWD”) and of Directive 2008/105/EC of the European Parliament and of the Council on environmental quality standards in the field of water policy (OJ No L 348, 24.12.2008, p84) (the EQSD”).

Regulation 3 imposes duties on the Secretary of State, Welsh Ministers, the Environment Agency (“the EA”) and Natural Resources Wales (“NRW”) to carry out certain functions so as to ensure

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(a) S.I. 2010/1627, to which there are amendments not relevant to these Regulations.

(b) S.I. 2013/1675, to which there are amendments not relevant to these Regulations.

(c) S.I. 2014/3102.

compliance with the WFD, GWD and EQSD, in particular when deciding whether to grant, vary or revoke certain permits and licences which affect water quality, and to co-ordinate their actions relating to these three Directives.

Part 2 requires the identification of river basin districts, and a number of other assessments to be carried out by the EA and NRW to characterise and classify the status of water bodies in those districts, and assess the economic aspects of water use. These assessments were carried out under the 2003 Regulations, and are treated as having been carried out under these Regulations as a result of the transitional provision in regulation 38.

Part 3 makes provision for certain protected areas. Regulation 8 requires the identification of bodies of water from which drinking water is abstracted, and specifies specific measures that must be included in a programme of measures (see Part 5) to protect the quality of the water. Regulation 9 sets out a procedure for designating areas of water as “shellfish water protected areas” which will have additional objectives (see regulation 13). Regulation 10 requires a number of types of areas which are protected by other EU legislation (for example, protected habitats and birds sites), as well as the areas under regulations 8 and 9, to be included on registers of protected areas.

Part 4 sets out what monitoring of water quality the EA and NRW must undertake in relation to water bodies, including protected areas.

Part 5 provides for the establishment of environmental objectives for each water body, and programmes of measures to meet those objectives. Regulation 12 sets out a procedure for these to be proposed by the EA or NRW, approved by the Secretary of State or Welsh Ministers, and reviewed and revised at least every six years. Regulation 13 sets out what the objectives are for each type of water body. This is subject to regulations 16 and 17 which enable alternative deadlines or less stringent objectives to be set if certain conditions are met, and to regulations 18 and 19 which set out circumstances in which it is permissible not to achieve the objectives set for a water body.

The remainder of Part 5 provides for the content and application of programmes of measures. In particular, regulation 20 sets out the minimum requirements for each programme of measures, (which may also contain supplementary measures), regulation 21 imposes requirements about water pricing and contributions to the recovery of costs, and regulation 22 requires additional measures in relation to certain priority substances set by the EQSD. Regulation 24 explains the actions which must be taken if it is likely that the environmental objectives for a body of water will not be met.

Part 6 deals with river basin management plans (“RBMPs”) which must be established for each river basin district, and applies in relation to the most recent plans published under the 2003 Regulations, or (once plans have been revised) under these Regulations. Regulation 27 lists the content required in each RBMP. The plans must be reviewed and revised periodically by the EA and NRW (regulation 28) and are then subject to the consultation requirements set out in regulation 29. The procedure for RBMPs to be submitted to and approved by the Secretary of State and Welsh Ministers is set out in regulations 30 and 31. Regulation 33 requires public bodies (as well as the Secretary of State, Welsh Ministers, the EA and NRW) to have regard to the RBMP for a river basin district (or a supplementary plan under regulation 32) when exercising functions affecting the district.

Part 7 contains miscellaneous provisions. Regulation 37 revokes the 2003 Regulations (and accordingly, Schedule 4 makes consequential amendments to other legislation). Regulation 38 contains a transitional provision ensuring that things done under the 2003 Regulations before their revocation continue to have effect as if done under these Regulations (for example, the analyses and assessments required by Part 2, and the objectives and programmes of measures established under Part 5).

Schedule 1 contains definitions from the WFD for terms used in the Regulations. Schedule 2 lists the functions in primary and secondary legislation to which the duty in regulation 3(1) (to exercise functions so as to secure compliance with the WFD, GWD and EQSD) applies. Schedule 3 contains a list of EU Directives relating to water quality which programmes of measures must

contain measures to implement. Schedule 4 contains consequential amendments to other legislation.

No impact assessment has been prepared for this instrument as no impact on the private, voluntary or business sectors is foreseen.

Department for Environment, Food and Rural Affairs

**Directive 2000/60/EC (The Water Framework Directive)  
Revised Transposition Note**

The Water Environment (Water Framework Directive) (England and Wales)  
Regulations 2017

1. This transposition note has been prepared by the Department for Environment, Food and Rural Affairs to accompany the Water Environment (Water Framework Directive) (England and Wales) Regulations 2017(S.I. 2017/407) (“the 2017 Regulations”) which transpose the Water Framework Directive 2000<sup>1</sup> (“the WFD”). It shows how the 2017 Regulations differ from the Regulations<sup>2</sup> which originally transposed the WFD 2003 (“the 2003 Regulations”). Background to replacing the 2003 Regulations is set out in the explanatory memorandum laid alongside the 2017 Regulations.
3. The 2017 Regulations provide fuller transposition, including setting out the detail of the key articles of the WFD. They also consolidate a number of amendments made to the 2003 Regulations. The 2017 Regulations differ from the 2003 Regulations in the level of detail but not in substance and do not affect any rights, duties or obligations. The 2017 Regulations also make consequential changes to other domestic legislation which refers to the 2003 Regulations..
4. The following table sets out how the main elements of the WFD have been transposed in the 2017 Regulations and how the transposition differs from the 2003 Regulations. Articles that are not listed do not require transposition.
5. The 2017 Regulations also transpose some aspects of the Groundwater Directive 2006<sup>3</sup> and the Environmental Quality Standards Directive 2008<sup>4</sup>. In particular, regulations 22 and 23 transpose provisions inserted into the Environmental Quality Standards Directive by the Priority Substances Directive 2013<sup>5</sup>. A separate transposition note is available setting out the detail.

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<sup>1</sup> [Directive 2000/60/EC](#)

<sup>2</sup> The Water Environment (Water Framework Directive) (England and Wales) Regulations 2003([S.I. 2003/3242](#)).

<sup>3</sup> [Directive 2006/118/EC](#)

<sup>4</sup> [Directive 2008/105/EC](#)

<sup>5</sup> [Directive 2013/39/EU](#)

Row	WFD Article	Description of WFD Article	Transposition by 2017 Regulations	Change from 2003 Regulations
1.	2	Lists definitions of certain terms used in the WFD.	WFD terms used in the 2017 Regulations are listed in Schedule 1. Regulation 2(3) provides that expressions used in the Regulations and the Directive and not otherwise defined have the meaning given by the WFD.	Terms that were not previously included have now been defined.
2.	3	Article 3 requires identification of river basin districts. Article 3.4 requires coordination of arrangements for achievement of the environmental objectives and programmes of measures.	River basin districts are identified in regulation 4(1) of the principal Regulations  Article 3.4 is transposed by regulation 3(4)	These provisions were transposed in similar terms by regulation 4(1) and regulation 3(2) respectively of the 2003 Regulations.
3.	4	Sets out the WFD environmental objectives for all groundwater bodies and natural, artificial and heavily modified surface water bodies and describes criteria for identifying certain water bodies as artificial or heavily modified. Article 4 also sets deadlines for the achievement of the objectives and a number of exemptions which allow the deadline to be extended, or less stringent objectives to be set subject to certain conditions being met, and circumstances in which a breach of the objectives is permitted.	Article 4 is transposed as follows. Article 4.1(a)(i)-(iv) – regulation 13(2)(a)-(d) Article 4.1(b)(i)-(iv) – regulation 13(5)(a)-(d) Article 4.1(c) – regulation 13(6)  Regulation 13(3) sets out the deadlines for certain priority substances from article 3a of the Environmental Quality Standards Directive.  In regulation 13, the 2015 deadline from the WFD has been updated so that objectives must be achieved by 2021 if they have not already been achieved when the 2017 Regulations come into force. Article 4.2 - regulation 13(7) Article 4.3 – regulation 15 Article 4.4 – regulation 16	The 2017 Regulations set out the environmental objectives for each type of water body, deadlines and exemptions on the face of the legislation. In addition to the specific obligations, the transposition in the 2017 Regulations is underpinned by regulation 3(1) which requires the competent authorities to exercise their relevant functions (which are functions under these Regulations and the enactments listed in Schedule 2 to the Regulations) so as to secure compliance with the requirements of the WFD. In order to provide clarity about how the environmental objectives are to be

Row	WFD Article	Description of WFD Article	Transposition by 2017 Regulations	Change from 2003 Regulations
			<p>Article 4.5 – regulation 17</p> <p>Article 4.6 – regulation 18</p> <p>Article 4.7 – regulation 19</p> <p>Article 4.8 &amp; 9 – regulation 24</p>	<p>achieved, new regulation 3(2) requires the relevant regulators to regulate activities affecting the water environment under specified regulatory powers so as to secure the environmental objectives set for water bodies.</p> <p>In the 2003 Regulations, the objectives and exemptions were transposed by a cross-reference to Article 4 of the WFD. Regulation 10 required the Competent Authority to submit proposals for “environmental objectives for the [river basin] district.”</p> <p>Environmental objectives was defined (in regulation 2) as meaning “the objectives required to comply with Article 4 of the Directive....”</p> <p>The requirement relating to Annex II system A/B was not set out in the 2003 Regulations</p>
4.	5	Requires preliminary analysis of characteristics of the river basin district and pressures on water bodies including an economic analysis.	Regulation 5 transposes the requirement for characterisation of river basin districts, including the dates by which this must be reviewed and updated. Regulation 5 requires the analysis to be done in accordance with the technical annex II to the WFD. Regulation 5 also specifies that the approach taken to the use of system A or B in paragraph 1.1 and 1.2 of Annex II must be set out in the river basin	



Row	WFD Article	Description of WFD Article	Transposition by 2017 Regulations	Change from 2003 Regulations
			management plan.  Regulation 6 transposes the requirement to carry out an economic analysis in accordance with the technical Annex III.	
5.	6	Requires a register of protected areas to be produced and maintained.	Regulation 10 transposes Article 6 and sets out the list of protected areas covered by Annex IV WFD.	The 2004 deadline to establish a register is replaced in the 2017 Regulations by a continuing requirement to maintain, review and keep the existing register up to date.
6.	7	Article 7.1 requires identification of waters used for the abstraction of drinking water. Article 7.2 requires Member States to ensure that, after treatment, the water abstracted meets the requirements of the Drinking Water Directive. Article 7.3 specifies an additional 'no deterioration' requirement for water bodies used for drinking water abstraction.	Transposed by regulation 8. The second sentence (monitoring) of Article 7.1 is transposed by regulation 11(4)(b) which requires drinking waters to be monitored and regulation 11(5)(b) which requires monitoring to be in accordance with the requirements of Annex II WFD. Article 7.2 is given effect by the separate transposition of the Drinking Water Directive	The obligation in Article 7.3 has been added to regulation 8.
7.	8	Monitoring of surface and groundwater status and protected areas	Transposed by regulation 11 which requires the Environment Agency to establish and keep under review the required monitoring programme. Regulation 11(4) specifies additional requirements for protected areas, including an additional category of shellfish water protected areas designed to ensure that the protection afforded by the	Regulation 11(4)(a) puts on the face of the legislation the requirement to monitor protected areas in accordance with the requirements of the relevant EU legislation. This was previously transposed by cross-reference to Annex V paragraph 1.3.5 in

Row	WFD Article	Description of WFD Article	Transposition by 2017 Regulations	Change from 2003 Regulations
			(repealed) Shellfish Water Directive is carried forward through WFD processes.	regulation 9(4)(a) of the 2003 regulations.
8.	9	Requires account to be taken of the principle of recovery of the costs of water services and measures to be put in place for water pricing to incentivise efficient use of water resources, and for contributions to be by made by certain sectors.	Article 9.1 is transposed by regulation 21(1) and (2). The appropriate authority (the Secretary of State) must comply with those requirements which are set out to fulfil those obligations. The derogation in Article 9.4 is transposed by regulation 21(3).	Article 9 is now transposed expressly in the 2017 Regulations. The 2003 Regulations transposed Article 9 by requiring account to be taken of the economic analysis undertaken in accordance with Annex III.
9.	11	Requires programmes of measures to achieve the environmental objectives to be established by 22/12/2009, made operational by 22/12/2012 and reviewed by 22/12/2015 (and then every 6 years). Article 11.3(a)-(l) lists types of measure that must be included in such programmes, such as controls over water abstraction, prior regulation of point source discharges liable to cause pollution, measures to prevent or control the input of pollutants from diffuse sources and measures to eliminate or progressively reduce pollution by certain toxic chemical substances. Article 11.4 provides for supplementary measures in addition	Requirement for a programme of measures is transposed by regulation 20. Regulation 20(2) stipulates that measures must comply with the requirements of Article 11 and lists the basic measures set out in Article 11.3(a) – (l) that the Environment Agency must include in a programme of measures that must be submitted to the appropriate authority for approval. The deadline for the first review of programmes of measure has been updated to 22 December 2021 in regulation 12(6) (which is when the next review is due). The programmes of measures in place before the 2017 Regulations came into force continue to apply due to regulation 38.  Regulation 20(4) transposes Article 11.4 regulation 25 transposes Article 11.5. regulation 24 transposes Article 11.6.	In the 2003 Regulations, Article 11 was previously transposed by regulation 10 which required a programme of measures to be prepared for each district, with “programme of measures” defined in regulation 2 as “the programme of measures required to comply with Article 11(2) to (6) of the Directive (programme of measures).” The 2009, 2012 and 2015 deadlines were transposed by regulation 10(5)(a)(i) to (iii) of the 2003 Regulations.

Row	WFD Article	Description of WFD Article	Transposition by 2017 Regulations	Change from 2003 Regulations
		to the basic measures specified in A11.3. Article 11.5 requires investigation in cases where it appears that environmental objectives are unlikely to be achieved and actions to be taken in response. Article 11.6 requires implementation of measures not to cause increased pollution of marine waters or surface waters.		
10.	13	Requires a river basin management plan (RBMP) to be produced by 22 <sup>nd</sup> December 2009 for each river basin district (Article 13(1) and (6)), and to be reviewed and updated by the same day in 2015 and every 6 years (Article 13(7)). Matters that must be included in the RBMP are set out in Annex VII, and may be supplemented by more detailed plans to deal with particular aspects of water management (Article 13(4) and (5)).	Transposed by regulation 27 which sets out requirements relating to the most recent version of the RBMP prepared and updated under the 2003 Regulations (before the 2017 Regulations came into force). The matters that must be included in the plan, including matters relating to priority substances and groundwater, are listed and include reference to the relevant provisions of Annex VII WFD, the Groundwater Directive and the Environmental Quality Standards Directive.  Article 13(5) transposes regulation 32 which provides for the more detailed plans that may be prepared to supplement the RBMP.	The 2017 Regulations add the requirements relating to content about groundwater and priority substances. Otherwise they broadly follow the 2003 Regulations.
11.	14	Requires Member States to encourage "active involvement" of	Transposed by regulation 29 which sets out the requirements of Article 14 and assigns	The 2017 Regulations continue to set out these obligations fully and

Row	WFD Article	Description of WFD Article	Transposition by 2017 Regulations	Change from 2003 Regulations
		interested parties in the implementation of the Directive. It sets out detailed requirements in relation to publication of and consultation on RBMPs (including draft and updated plans) and their preparation. It requires a period of 6 months for each consultation. Access must also be given to background documents and information used in the preparation of those plans.	to the relevant WFD authorities responsibilities in relation to the preparation of the RBMPs. Regulation 29(2) lists specific and interested parties that must be consulted. It also provides detail on how the statutory documents and general information must be brought to the attention of, and made available to, interested parties and the public as well as arrangements for those persons to participate and make representations to the competent authority. Additional clarity is provided by regulation 30 and 31 which set out a detailed process for the approval of the RBMP by the appropriate authority. Regulation 34 lists information that must be made available to the public such as the register of protected areas, the monitoring programme and the environmental objectives for each water body and programmes of measures.	provide additional detail for clarity, as in the 2003 Regulations.

## **Explanatory Memorandum to the Water Environment (Water Framework Directive) (England and Wales) Regulations 2017**

This Explanatory Memorandum has been prepared by the Department for Economy, Skills and Natural Resources and is laid before the National Assembly for Wales in conjunction with the above subordinate legislation and in accordance with Standing Order 27.1

### **Cabinet Secretary/Minister's Declaration**

In my view, this Explanatory Memorandum gives a fair and reasonable view of the expected impact of The Water Environment (Water Framework Directive) (England and Wales) Regulations 2017.

Carwyn Jones  
First Minister of Wales  
16 March 2017

## **1. Description**

To consolidate, and update the drafting style of, the Regulations which transposed Directive 2000/60/EC (The Water Framework Directive (WFD)). This serves the dual purpose of making the transposition instrument more transparent and of meeting a commitment made in the UK formal response to a Reasoned Opinion of the European Commission. The opinion asserted that the Directive had not been transposed correctly in the UK.

## **2. Matters of special interest to the Constitutional and Legislative Affairs Committee**

Where these Regulations relate to cross-border river basins, they are to be made jointly with the Secretary of State. In so far as relating to river basin districts wholly in Wales or wholly in England, the Regulations are to be made compositely.

The Regulations are made using powers under the s2(2) of the European Communities Act 1972 and there is a choice as to whether the affirmative or negative procedure apply. These Regulations are made to ensure correct transposition of the Water Framework Directive and so there is little discretion involved. The Regulations also do not amend any provision of an Assembly Act or Measure. Therefore, the negative resolution procedure is deemed appropriate for making these Regulations.

As the Regulations will be subject to UK Parliamentary scrutiny, it is not considered reasonably practicable for this instrument to be made or laid bilingually.

To date, the Welsh Government has tended towards transposing EU Directives compositely with Defra. This was due in part, to the fact that the Environment Agency acted as the main environment regulator for both the Welsh Government and UK Government.

With the creation of Natural Resources Wales in 2013, there is more scope to operate differently in Wales; however with respect to this particular Directive, there is limited scope to transpose directions differently due to the river basin areas that are partly in Wales and partly in England.

The Welsh Ministers have the power to give Natural Resources Wales directions for the implementation of EU obligations such as this. However, that power only covers river basin districts wholly in Wales. If the Welsh Government were to undertake a separate transposition it would only apply to the Western Wales river basin and the Severn and Dee River Basins would still need to be directed jointly with Defra.

Transposing the directive on an England and Wales basis ensures there is consistency in delivery, which is something that the European Commission is keen to see with regards to the overall Water Framework Directive. This is especially relevant in Wales given that the Severn and Dee river basin districts are both cross border river basins.

### **3. Legislative background**

The WFD introduced a comprehensive river Basin management planning system to protect and improve the ecological and chemical health of our rivers, lakes, estuaries, coastal waters and groundwater. The Water Environment (Water Framework Directive) (England and Wales) Regulations 2003 (the 2003 Regulations) transposed the WFD in England and Wales. These Regulations revoke and replace the 2003 Regulations.

Section 80 of the Government of Wales Act 2006 (“GOWA 2006”) states that an obligation of the UK is also an obligation of the Welsh Ministers if and to the extent that the obligation could be implemented (or enabled to be implemented) or complied with by the exercise by the Welsh Ministers of any of their functions.

Section 59 of GOWA 2006 enables the Welsh Ministers to be designated for the purposes of section 2 (2) of the European Communities Act 1972 (“the ECA 1972”). Section 2 (2) of the ECA 1972 enables designated Ministers or departments to implement EU obligations and rights. The Welsh Ministers are designated for the purposes of section 2(2) of the ECA 1972 in relation to water resources by virtue of Article 3 of European Communities (Designation) (No. 4) Order 2003/2901 (the original designation has transferred to the Welsh Ministers from the National Assembly for Wales by virtue of sections 59 and 162 of, and paragraph 28 of Schedule 11 to GoWA 2006). That designation also provides that where regulations are to be made under this designation in relation to or identifying river basin districts which lie partly in England and partly in Wales, they shall be made jointly with the Secretary of State.

The Welsh Ministers can therefore make the Regulations using the enabling powers in section 2(2) of the ECA 1972 in relation to river basin districts which lie wholly in Wales, and jointly with the Secretary of State in relation to districts lying partly in England and partly in Wales.

### **4. Purpose & intended effect of the legislation**

The Water Framework Directive (WFD) established a strategic framework for protecting and improving the water environment, reflecting and building on practice in the UK at the time it was developed, initially under a UK presidency. It came into force in December 2000. Specific environmental objectives and measures for individual bodies of water are identified through a 6-yearly river basin planning process. All key stages in the river basin planning process are subject to stakeholder engagement and extensive public consultation.

In October 2015 the European Commission issued a Reasoned Opinion (RO) which claimed that the UK had failed to transpose the WFD correctly. A Reasoned Opinion is a formal determination by the European Commission that the Member State is in breach of its legal obligations and may be followed by a referral to the Court of Justice of the European Union (CJEU) if the Commission is not satisfied with the response of the Member State.

The main complaints in the Reasoned Opinion relate to the use of referential drafting in the legislation that transposed the WFD. This is a legal drafting technique where our legislation refers to certain provisions of the Directive in place of copying the text of the Directive into national legislation. The technique was used extensively in the 2003 Regulations, including for some of the main Articles. While this technique enables transposing legislation to be concise and avoids gold plating, the Commission considers that it does not provide sufficient clarity for the public and operators.

The formal response to the RO defended the important principle that Member States may choose the form and methods for implementing directives. The revised Regulations continue to use the referential drafting technique for technical annexes, which the Commission has acknowledged do not always need to be copied into national legislation. However, the revised Regulations provide greater transparency and clearer transposition by copying out the key Articles of the WFD. This change of drafting style for the main Articles of the WFD represents a significant concession to the Commission in order to reduce the likelihood of the case being referred to the CJEU.

## **5. Consultation**

A public consultation has not been carried out. As the updated legislation is being revised to address a technical drafting issue and does not change the nature of any of the provisions of the existing legislation, the revised Regulations do not affect any public or private interest or change any aspect of the implementation of the Directive. Natural Resources Wales have been fully engaged in the development of these regulations.

## **6. Regulatory Impact Assessment (RIA)**

Since the Regulations have no new impact on any private or public interest the public or business, an impact assessment has not been prepared for this instrument.



Our ref/*Eîn Cŷf*  
Your ref/*Eich Cŷf*  
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OFFICE OF THE LEADER Eitem 5.1  
SWYDDFA'R YR ARWEINYDD



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21<sup>st</sup> March 2017

Dear *Huw*

**#SeneddNewport initiative**

Thank you for your letter asking about the City of Democracy project and the Council's experiences of community engagement.

My thinking behind the City of Democracy concept is to build on the growing sense of optimism in Newport. One of the key challenges for us has been finding a distinctive narrative for the city which binds everyone together; something which all the key partners in the city are able to connect with and reflects our history as well as the future opportunities.

Promoting Newport as the City of Democracy reflects the city's history, most notably through the Chartist movement, but also the challenges and opportunities of today, where engaging communities in decision making and the regeneration of the city can be difficult.

We commissioned a specialist consultancy to look at how we could build on our historical legacy, to bring about a cultural re-invention of the city, which benefits the local economy and the wellbeing of our communities. They recommended that we should focus our attention on:

- Identifying a branding strategy for Newport as the City of Democracy
- Measures to support co-operative and mutual ownership for local businesses and services
- Including the 'Newport Story' in the school curriculum to engage and enthuse young people
- Using Newport's citizen's panel to trial new digital democracy tools which will open up public debates on policy and decision making
- Creating a Festival of Democracy, drawing on historic and modern contexts to generate public debate, whilst promoting the city to visitors. This will tie in with Newport's growing reputation as tourist destination.

You also asked about our intention to investigate digital democracy tools. For more than a decade we have used a citizen's panel, made up of 1,000 local people who we consult with on a variety of service and strategy issues.



Contact with panel members increasingly uses e-mail and online survey software, however we know that technology has moved forward in recent years with the advent of social media tools. The Council has a direct reach of at least 20,000 residents through its own social media communications. Working with our partner organisations this reach extends further.

Recent engagement work has used the citizen's panel in tandem with social media surveys to widen the reach and response rate. This helped us achieve our highest ever response rates to the budget consultation and also for a visioning exercise (the WFG Act engagement work). With that in mind I have asked my officers to look into the potential benefits of social media engagement tools to support greater openness in relation to the democratic process, however I am also mindful of the climate of austerity and would not wish to commit to untested potential solutions.

We are in early discussions with Building Communities Trust who are working in Pillgwenlly, one of our most disadvantaged and least cohesive wards, considering use of a digital engagement tool to build consensus on a long-term vision and priorities.

The City of Democracy programme recognises that our work on engagement and involvement is on a continuum and that we need to move towards more participatory methods and greater empowerment of citizens. I am also keen to ensure that we do not forget that engagement is about listening and learning, and to this end we need to have cyclical processes in place where each year we build on our knowledge and customer insight.

We now have four years of experience in publishing ward profiles which provide details on social, economic, environmental and cultural conditions and complement our city-wide assessments (e.g. Assessment of Local Wellbeing, Population Needs Assessment) and qualitative engagement based data.

Another aspect of our improved engagement arrangements is our stronger collaborative approach.

We are increasingly working with our partners for example in the third sector (e.g. Community Voices project, British Deaf Association, Care to Listen project), local housing associations, the Newport Live leisure trust who are often closer to client groups and local communities than the Council.

In practice this has meant collaborative coordination and planning of engagement activity which has allowed us to embed engagement activities in community events, rather than relying on specific engagement events, in doing so using our resources more efficiently

I hope this gives you the information you need but if you have any further questions—

*Please do not hesitate to contact me.*  
*Cofion*  
*Debbie*

Cllr. Debbie Wilcox  
Leader, Newport City Council

Mae cyfyngiadau ar y ddogfen hon

Mae cyfyngiadau ar y ddogfen hon



Ein cyf/Our ref: MA-L/RE/0144/17

Huw Irranca-Davies AC  
Cadeirydd y Pwyllgor Materion Cyfansoddiadol a Deddfwriaethol  
Cynulliad Cenedlaethol Cymru  
Tŷ Hywel,  
Bae Caerdydd  
Caerdydd  
CF99 1NA

10 Mawrth 2017

Annwyl Huw,

### Bil Iechyd y Cyhoedd (Cymru)

Diolch i'ch Pwyllgor unwaith eto am ystyried Bil Iechyd y Cyhoedd (Cymru) yn ystod Cyfnod 1. Yn ystod y ddadl am egwyddorion cyffredinol y Bil ar 28 Chwefror, rhoddais gadarnhad y byddwn yn ysgrifennu at y Pwyllgor i ymateb yn ffurfiol i'w adroddiad.

Er fy mod yn sylweddoli beth yw bwriad unig argymhelliad adroddiad y Pwyllgor, ni allaf ymateb drwy ddiwygio'r Bil yn y ffordd benodol y mae'r Pwyllgor yn ei argymhell. Mae awdurdodau lleol eisoes yn gorfod cydymffurfio â'u rhwymedigaethau o dan adran 6 o Ddeddf Hawliau Dynol 1998 ac maent yn gyfarwydd iawn â hwy. Maent felly'n deall eu dyletswyddau'n dda. Gyda hyn mewn golwg, credaf y byddai'n ddiangen ac yn amhriodol ychwanegu darpariaeth benodol ar wyneb y Bil, ac y gallai hyn arwain yn anfwriadol at ddryswch pe bai darpariaeth o'r fath yn cael ei chynnwys yn y Bil hwn heb ei chynnwys mewn deddfwriaeth arall.

Serch hynny, gan ymateb i gais blaenorol gan y Pwyllgor ynghylch Rhan 2 o'r Bil, rwy'n bwriadu cyflwyno gwelliant yng Nghyfnod 2 i ddatgan ar wyneb y Bil mai dim ond awdurdodau cyhoeddus penodol fydd yr awdurdodau gorfodi. Bydd hyn hefyd yn sicrhau mai dim ond y rheini sydd eisoes yn gorfod gweithredu'n unol â'u rhwymedigaethau o dan deddfwriaeth hawliau dynol fydd yr awdurdodau gorfodi. Gobeithio y bydd hyn yn tawelu meddwl y Pwyllgor yn gyffredinol ynghylch y mater hwn.

Hyderaf y bydd y Pwyllgor yn fodlon inni ddilyn y trywydd hwn.

Rwy'n anfon copi o'r llythyr hwn at Dr Dai Lloyd AC, Cadeirydd y Pwyllgor Iechyd, Gofal Cymdeithasol a Chwaraeon.

Dymuniadau gorau,

**Rebecca Evans AC / AM**

Gweinidog Iechyd y Cyhoedd a Gwasanaethau Cymdeithasol  
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Rydym yn croesawu derbyn gohebiaeth yn Gymraeg. Byddwn yn ateb gohebiaeth a dderbynnir yn Gymraeg yn Gymraeg ac ni fydd gohebu yn Gymraeg yn arwain at oedi.

We welcome receiving correspondence in Welsh. Any correspondence received in Welsh will be answered in Welsh and corresponding in Welsh will not lead to a delay in responding.